


Anti-money laundering form



Please complete and sign before forwarding to us at the address below.

 0131 226 9535  client.contact@nucleusfinancial.com  Nucleus HQ, 12 Blenheim Place, Edinburgh EH7 5JH
Option 1

 If anyone forgets to add a date to their signature, we'll treat the day we receive this form as the signing date.
If you've any questions, please don't hesitate to contact our dedicated Client Contact team.

This form provides confirmation that the verification of identity of a private individual has been performed by the adviser and they're aware that we'll rely on that Anti-money laundering (AML) and may request copies of verification on a sample basis.

Details of individual

Full name

Previous address (if individual has changed address in the last three months)

Current address

Postcode

Postcode

Date of birth (dd-mm-yyyy)

Nationality

Confirmation

I/We confirm that:

- the information above was obtained by me/us in relation to the client;
- the evidence I/we have obtained to verify the identity of the customer is either (please tick one box)

Standard due diligence as set out within the guidance for the UK Financial Sector issued by the Joint Money Laundering Steering Group (JMLSG); or

Enhanced due diligence evidence as set out within the guidance for UK financial sector issued by JMLSG (written details of the further verification evidence taken are attached to this confirmation).

Adviser signature

Name

Position and FCA individual reference number

Date (dd-mm-yyyy)

Details of introducing firm (or sole trader)

Full name of regulated firm (or sole trader)

FCA firm reference number

Important note

A separate confirmation must be completed for each customer (e.g. joint holders, trustee cases and joint life cases). Where a third party is involved e.g. a payer of contributions who's different from the customer, the identity of that person must also be verified, and a confirmation provided.

