Completing a Winterflood crest transfer form

Consideration money – if shares are being exchanged as consideration we need a value for these shares to be able to calculate the duty. Stamp Duty is incurred where the unit/shares are being transferred to someone that has purchased them from the current holder or they are otherwise being exchanged for something of value in monetary terms.

Stamp Duty – is payable on transfers of shares for consideration at 0.5% of the amount paid, rounded up to the next £5. No Stamp Duty is payable where the consideration does not exceed £1,000, provided the appropriate certificate of value is completed. Where the consideration exceeds £1,000, Stamp Duty must be paid to HMRC in accordance with current HMRC procedures.

Where shares are transferred by way of gift, enter "NIL" in the consideration box. This includes gifts of shares, distributions from trusts or deceased estates to beneficiaries, and transfers to or from a nominee where there is no change in the underlying beneficial ownership.

Full name of undertaking – name of company in which these shares/stocks are held.

Full description of security – the type of shares/stock, for example ordinary/preference, and in the case of shares, its nominal value. Please refer to the certificate(s) (where held) which state the nominal value of each share. In most cases this is '£1 ordinary shares'.

Numbers of amounts of shares, stock or other security – Number of shares being transferred in words, eg "fifty one pound ordinary shares". Figures – number of shares being transferred in figures eg "50 units of £1".

Names of registered holder (s) – full name(s) of the persons transferring these shares/stocks (registered holder). Please include any designation in the special box. This information should be written exactly as shown on that certificate.

Signature of transferor(s) – signature from the current shareholder i.e. the person(s) transferring the stock(s).

Date – the date on which the transfer form is completed must be shown.

Full name/address of person to whom the security is being transferred – name and address of new shareholder.

Winterflood Securities Ltd
The Atrium Building
Cannon Bridge House
25 Dowgate Hill
London
EC4R 2GA

Stamp of buying broker – leave blank

Stamp of person lodging form – leave blank

Please ensure that the form is signed.

For literature in alternative formats, such as Braille, large print, audio or E-text, please call us on 0131 226 9535, or via the Relay UK service on 18001 0131 226 9535.

0131 226 9535 client.relations@nucleusfinancial.com nucleusfinancial.com

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