

Customer: Execution only

Jisa top up application form



- ✓ Please use this form if you wish to make single or regular cash contributions to a Junior Isa.
- ✓ This process is designed for execution only customers i.e., customers who have not taken advice and who are acting on their own initiative.
- ✓ We must remind you that progressing on a non-advised basis may affect the regulatory protection you would otherwise be entitled to. For example, you will not have recourse against an adviser firm in regard to investment decisions made by you. Your right to pursue compensation via the Financial Services Compensation Scheme is not affected when we execute a transaction for you on a non-advised basis.
- ✎ If you forget to add a date to your signature, we'll treat the day we receive this form as the signing date.

Once this form has been completed and signed, please send it to us at the below address.

Nucleus client relations, PO Box 26968, Glasgow, G2 9DY 0131 226 9535 Option 1 client.contact@nucleusfinancial.com

Customer details

Name of registered contact

Name of child

Nucleus Jisa account number

N

Contributions

The Nucleus Junior Isa account is a stocks and shares Junior Isa for children, with an investment allowance of £9,000 for the 2025/26 and 2026/27 tax years. Subscriptions paid into the Junior Isa are a gift to the child and cannot be returned to the subscriber.

You can top up a Jisa in different ways: single lump sum payment or regular contribution via direct debit, these can both be funded by the registered contact, and by a third party payer. Also, if the registered contact holds a General account, we can use the funds for a Bed & Jisa (please see page 3 for more information).

Contributions by the Registered contact

Please select the appropriate contribution type(s):

Single lump sum contribution £

Payment method

When prompted, please send the payment to the following bank account, quoting the Nucleus Jisa N account number as the payment reference:

Junior Isa account Payee	Sort code	Account no
Nucleus Financial Services Ltd	16-04-00	31266744

Regular contribution £

Frequency of contributions

Monthly Quarterly Half yearly Annually

Collection date for the contributions (this must be between 1st-28th of the month)

If no start date is provided, we will set up the Direct Debit for the first of the month following the date that this form is signed.

If regular contribution payments are to be made to a Jisa, please also complete the attached Direct Debit Mandate, and send it to Nucleus. Please allow at least 10 business days for us to set up any Direct Debits.

Bed & Jisa from General account N
Go to [Bed & Jisa instruction](#)

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Contributions by a Third-party payer

Payer's name

Residential address

Bank details (if you haven't contributed before)

Account name

Sort code

Account number

Please select the appropriate contribution type(s):

Single lump sum contribution £

Payment method

When prompted, please send the payment to the following bank account, quoting the Nucleus Jisa N account number as the payment reference:

Junior Isa account Payee	Sort code	Account no
Nucleus Financial Services Ltd	16-04-00	31266744

Regular contribution £

Frequency of contributions

Monthly Quarterly Half yearly Annually

Collection date for the contributions (this must be between 1st-28th of the month)

If no start date is provided, we will set up the Direct Debit for the first of the month following the date that this form is signed.

If regular contribution payments are to be made to a Jisa, please also complete the attached Direct Debit Mandate, and send it to Nucleus. Please allow at least 10 business days for us to set up any Direct Debits.

If the third party payer is not an existing Nucleus client, we will require sight of identification documents to meet anti-money laundering regulations. See page 5 for list of acceptable documents.

Source of wealth

Please tick one or more of the following options to indicate from which source(s) you have accumulated the personal wealth that will be used to fund this Jisa account.

Registered contact

- Income from employment
- Income from savings/investments
- Gift
- Lottery and other winnings
- Property sale
- Inheritance
- Divorce settlement
- Other (please specify)

Third party payer

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- Income from savings/investments
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Your right to cancel

Once you have submitted this instruction and it has been accepted by us, we will send you a cancellation notice, which confirms that you will have 30 days from receipt of the notice to let us know that you have changed your mind and wish to cancel the top up. Should you wish to do so please write to us at Nucleus client relations, PO BOX 26968, Glasgow G2 9DY, alternatively you can call 0131 226 9535 (Option 1) or email us at client.contact@nucleusfinancial.com confirming that you would like to exercise your right to cancel your recent investment. Please remember to quote your account number (starting with N) and your investment amount.

As you have not received any financial advice before instructing us with this top up, we will not permit any investments using the top up money during your 30-day cancellation period in case you decide to cancel.

However, you have the option to waive your right to the 30-day cancellation period. If you choose to do this, we will apply the funds received to your Jisa, and it will be invested immediately based on your instruction within this form.

Please indicate your preference by ticking one of the following options:

I can confirm that I wish to waive my cancellation rights and have my funds invested at the earliest opportunity. I accept that once I have waived my right to this cancellation period, I will no longer be able to cancel the investment.

Please postpone my investment instruction until after the 30-day cancellation period has expired. I understand that trades will not be placed until after the 30-day cancellation period has expired and that the full amount will be sent back to me/the payer.

We are unable to proceed if this section is left blank.

Registered contact signature

Signed

Print name

Date (dd-mm-yyyy)

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Certified documentation for AML

If necessary, please supply certified photographic ID and address verification from the lists below. All documents must be in English or with an English translation provided. We require two documents, one from each list:

ID documents

1. Valid passport
2. Valid photo card driving licence (full or provisional)
3. Valid old style full UK driving licence
4. Valid national identity card with a clear expiry date
5. Recent evidence of an entitlement to a state or local authority-funded benefit, tax credit, pension (including client name, address and dated within the last three months - we accept internet copies but can't accept screenshots)
6. Firearms certificate or shotgun licence
7. Identity card issued by the Electoral Office for Northern Ireland

Address verification documents

1. Valid photocard driving licence (full or provisional)
2. Recent council tax bill (including client name, address and dated within the last three months - we accept internet copies but can't accept screenshots)
3. Valid national identity card (only if it confirms current residential address - must have a clear expiry date)
4. Utility bill (including client name, address and dated within the last three months - we accept internet copies but can't accept screenshots)
5. Bank statement (including client name, address and dated within the last three months - we accept internet copies but can't accept screenshots)
6. Credit/ debit card statement (including client name, address and dated within the last three months - we accept internet copies but can't accept screenshots)
7. Valid national identity card with a clear expiry date
8. Signed lease where the lease term is within date of the application

The documents opposite must be certified by an approved certifier and sent to us by post or email for verification

1. Local post office
2. An authorised representative of an embassy or consulate of the country who issued the identification document
3. A notary public
4. A commissioner for oaths
5. A lawyer or advocate
6. A formally appointed member of the judiciary
7. A registrar or other civil or public servant authorised to issue or certify copy documents
8. An FCA authorised financial adviser

If you are not living in the UK, please consult local Government/consulate to understand specific country requirements as to who can certify.