

# Customer: Execution only


## Junior Isa transfer authority


### Cash transfer only




Once this form has been completed and signed, please send it to us at the below address.

 Nucleus client relations, PO BOX 26968, Glasgow G2 9DY  0131 226 9535 Option 1  [client.contact@nucleusfinancial.com](mailto:client.contact@nucleusfinancial.com)

 If you forget to add a date to your date signature, we'll treat the day we receive this form as the signing date. If you've any questions, please don't hesitate to contact our dedicated Client Contact team.

 This process is designed for execution only customers i.e. customers who have not taken advice and who are acting on their own initiative.

 We must remind you that progressing on a non-advised basis may affect the regulatory protection you would otherwise be entitled to. For example, you will not have recourse against an adviser firm in regard to investment decisions made by you. Your right to pursue compensation via the Financial Services Compensation Scheme is not affected when we execute a transaction for you on a non-advised basis.

#### To: Plan/Account manager

Please insert full manager name and address

Reference: Stocks and shares Jisa account/cash Jisa account/  
Child Trust Fund plan number

I authorise Nucleus to approach the plan/account manager (detailed above) to obtain relevant information regarding my plan/account and authorise the plan/account manager to disclose such information.

I request that the plan/account manager (detailed above) transfers the appropriate cash value from the accounts detailed opposite to Nucleus Financial Services Limited.

Residual monies (i.e., interest and distributions) applied to the account post transfer should be transferred to Nucleus Financial Services Limited, quoting client name and Nucleus account number. There is no minimum acceptance level.

#### Personal details

Name of registered contact

Name of child

Nucleus client reference of child (if known)

Child's date of birth

Child Trust Fund URN (where applicable)

Residential address of child

Postcode

Child's NI number (where available)

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## Cash value of holdings to be transferred

Entire Jisa – state how much you have subscribed in the current tax year

£

All current tax year investments – state how much you have subscribed in the current tax year (under HMRC rules, current tax year investments can only be transferred in full)

£

Previous tax years' investments, as follows:

- All previous tax years' investments

£

Or

- The following amount from the previous tax years' investments

£

(if these fields are left blank we will assume all previous tax years' investments are to be transferred)

Signed

Print name

Date (dd-mm-yyyy)

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## New investment instruction

When the funds from the transfer have been received and applied to your Jisa, if no investment selection is provided this will default to 100% cash.

Asset name (please include share class e.g. A Income Units)	Asset identifier (ISIN)	Buy %
Cash		
Total (must be 100%)		%

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#### Your right to cancel

Once you have submitted this instruction and it has been accepted by us, we will send you a cancellation notice, which confirms that you will have 30 days from receipt of the notice to let us know that you have changed your mind and wish to cancel the transfer. Should you wish to do so please write to us at Nucleus Client Relations, Nucleus client relations, PO BOX 26968, Glasgow G2 9DY, alternatively you can call 0131 226 9535 (Option 1) or email us at [client.contact@nucleusfinancial.com](mailto:client.contact@nucleusfinancial.com) confirming that you would like to exercise your right to cancel your recent investment. Please remember to quote your account number (starting with N) and your investment amount.

As you have not received any financial advice before applying for this transfer, we will not permit any investments using this transfer money during your 30-day cancellation period in case you decide to cancel.

However, you have the option to waive your right to the 30-day cancellation period. If you choose to do this, we will apply the transfer funds received to your Jisa, and it will be invested immediately based on your instruction within this form.

Please indicate your preference by ticking one of the following options:

I can confirm that I wish to waive my cancellation rights and have my transfer funds invested at the earliest opportunity. I accept that once I have waived my right to this cancellation period, I will no longer be able to cancel the investment.

Please postpone my investment instruction until after the 30-day cancellation period has expired. I understand that trades will not be placed until after the 30-day cancellation period has expired and that the full transfer amount will be sent back to the ceding provider.\*

We are unable to proceed if this section is left blank.

\* If you exercise your right to cancel, it may not be possible to transfer your assets back to your previous arrangement and you may be required to find another arrangement who will accept your transfer.

Signed

Print name

Date (dd-mm-yyyy)

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