

Customer: Execution only






Re-registration authority

Can be used for General, ISA or Pension accounts



Once this form has been completed and signed, please send it to us at the below address.

 Nucleus client relations, PO Box 26968, Glasgow, G2 9DY  0131 226 9535 Option 1  client.contact@nucleusfinancial.com

-  If anyone forgets to add a date to their signature, we'll treat the day we receive this form as the signing date. If you've any questions, please don't hesitate to contact our dedicated Client Contact team.
-  This process is designed for execution only customers i.e. customers who have not taken advice and who are acting on their own initiative or who have taken advice from a non-Nucleus platform adviser.
-  We must remind you that progressing on a non-advised basis may affect the regulatory protection you would otherwise be entitled to. For example, you will not have recourse against an adviser firm in regard to investment decisions made by you. Your right to pursue compensation via the Financial Services Compensation Scheme is not affected when we execute a transaction for you on a non-advised basis.
-  Have you considered investment pathways? You can find details of our investment pathway funds here nucleusfinancial.com/wrap/clients/investmentpathways.
-  By completing this re-registration form we will note for Investment Pathways purposes that you have opted to choose your own investments, without choosing investment pathways.

To: Plan/Account manager

Please insert full manager name and address

Personal details

Name

Nucleus client reference (if known)

Date of birth

National insurance number

Reference: Account/plan number

Residential address

Type of account: i.e., ISA, Pension, General account

I/We authorise Nucleus to approach the Plan/Account manager (detailed above) to obtain relevant information regarding my plan/account and authorise the plan/account manager to disclose such information.

Postcode

I/We authorise the Plan/Account manager (detailed above) to re-register the accounts detailed below to the company(ies) indicated below with immediate effect.

Joint holder's name (where applicable) – General account only

I/We confirm that the re-registration of the assets listed will not change the beneficial ownership from (or among) the current holder(s).

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Important information

In order to help improve the efficiency of the process of re-registration please note and complete the following information.

I will incur any costs of re-registration without being notified (please select)

If ceding companies are unable to re-register my assets, I will accept cash without being notified (please select).

Yes No

Yes No

Assets to be re-registered

Please note, the quantity of units to be re-registered must be completed otherwise the form will be returned to you.

Entry	Asset	Actual quantity	Re-register to <small>This column is to be completed by Nucleus</small>
1			
2			
3			
4			
5			
6			
7			
8			
9			
10			
11			
12			
13			
14			
15			
16			
17			
18			
19			
20			
21			
22			
23			
24			
25			

Total estimated residual cash to be transferred

£

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Signed

Print name

Date (dd-mm-yyyy)

– –

Signed joint holder where applicable

Print name

Date (dd-mm-yyyy)

– –

For literature in alternative formats, such as Braille, large print, audio or E-text, please call us on 0131 226 9535, or via the Typetalk service on 18001 0131 226 9535.



0131 226 9535



client.relations@nucleusfinancial.com



www.nucleusfinancial.com

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