

For advisers

Our new
platform

nucleus^o platform

How to process a
General Investment
Account (GIA) new
business application

 5 minute read



How to process a GIA new business application

Full client details	5	Investment selection	23
Product selection	11	Review	24
Payments in	13	Declarations and documents	25
Adviser charges	19		

How to use this document



Important information will look like this.

Key messages

Key messages will look like this.



Useful info will look like this.



We recommend using the zoom function to view the details on the platform screens in this guide.

How to process a GIA new business application



This guide is demonstrated using an adviser user with master user role permissions. If you have different user role permissions, some functionality may not be available to you.



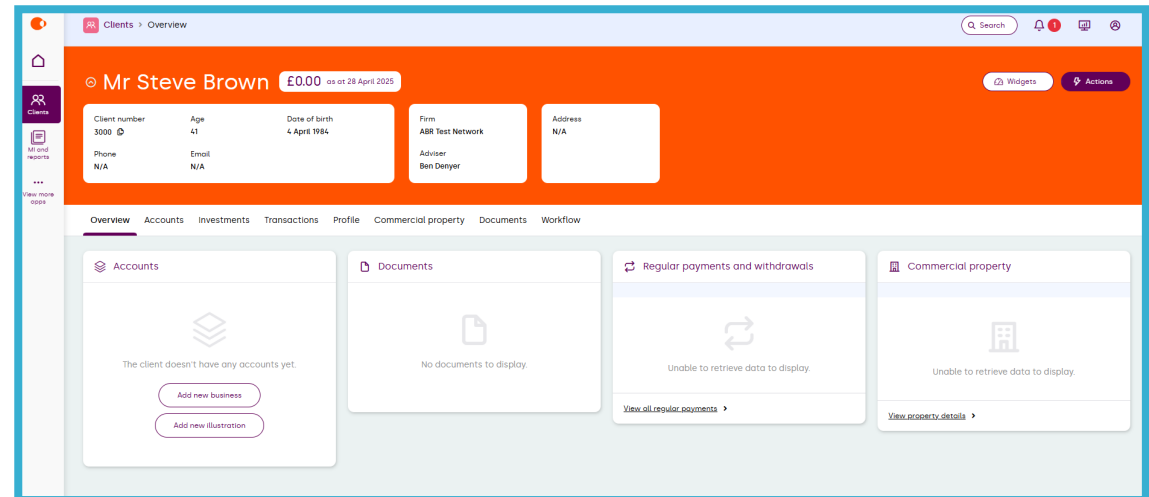
To start the General Investment account (GIA) new business application, you first need to create your client. To create and search for your client please refer to the guides on our website.



If your client will be taking regular withdrawals, withdrawal information and bank account details will be required for this application.

For Joint accounts, both applicants need to be created to proceed with the new business process.

Once you've created and located your client, you can refer to this guide to create a GIA new business application.

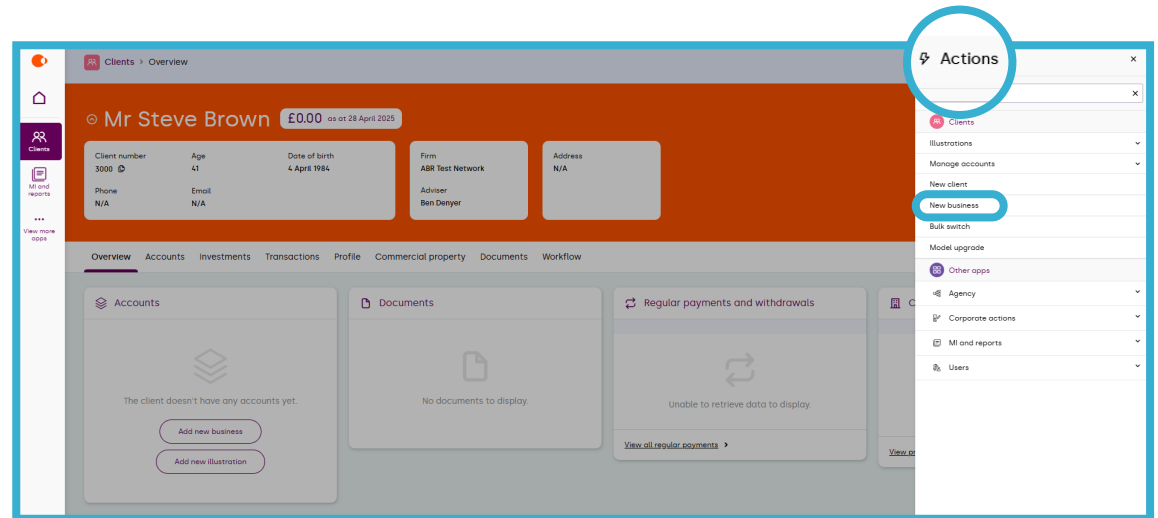


From the client home page please select the **'Actions'** button.

A list of actions will appear. To create the new business application, select **'New business'**'.

Key message

At any stage of the application, you can save and exit the application. To resume the application, navigate to the workflow tab within the client's account.



Full client details



The assigned firm and adviser can be changed by selecting from the drop-down lists.

Please note new business applications can only be accepted on an advised basis. You must confirm advice has been provided by selecting **'Yes'**.

Confirm if your client requires access to the portal.

Key messages

If **'Yes'** is selected, the client will receive an invitation to register on the customer portal and accept the terms. This email is valid for five days. If **'No'** is selected, all communication will be sent by post.

Customer portal access is needed if your client wants to go paperless. It also lets them make additional contributions, investments, and update their contact details. For more information, please see the Customer Portal Permissions helpsheet.

New business
Full client details

Steve Brown
Client ID: 3000

Hierarchy selection

Firm
ABR Test Network (JHPDAAAAC)

Assign an adviser
ABR Training (JHPDAAAAC0000008)

Financial advice

Did you give financial advice to your client in relation to this instruction?
 Yes No

Customer portal access

Customer portal access?
 Yes No

Client details

Name
Mr Steve Brown

Date of birth
4 April 1984

Email address
steve@gmail.com

Phone
Mobile

Country
UK (+44)

Phone number
759595902 [Add another](#)

1 Email address and phone number must be provided to apply for customer portal access or to use a digital signature.

New business

1. Full client details
2. Product selection
3. Review
4. Documents and declarations
5. Confirmation

Save and exit

Cancel

Next: Product selection

Enter your client's contact details into the required fields.

Key message

The client's contact details are optional unless they would like to receive postal correspondence. E-signatures and paperless correspondence require an email address.

Enter your client's address or postcode into the search bar and select the address.

If the address can't be found, select '**enter an address manually**' and complete the address details.

Key message

The client's address can be amended once chosen by selecting the '**pencil**' icon next to the address.

If the client requires an alternative correspondence address, please contact us. Alternatively the client can amend this through the customer portal.

The screenshot shows a web form titled 'Address' within a 'New business' application. The form has a search bar containing 'SP1 2BP'. Below the search bar, there are three search results. The first is 'Salisbury, SP1 2BP'. The second is 'Nucleus Group Services Ltd, Milford House 43-55, Milford Street Salisbury, SP1 2BP' and has a pencil icon next to it. The third is 'Paul Jones Financial Services Ltd, Milford House 43-55, Milford Street Salisbury, SP1 2BP'. To the right of the search bar, there is a link 'Or enter an address manually'. Below the search results, there are two yellow warning boxes. The first says 'Advertiser business addresses can't be used.' The second says 'address for correspondence, we need to receive written can send this to us by secure message or in writing to Nucleus, Salisbury, SP1 3TB.' At the bottom of the form, there is a question 'Use this address for all correspondence?' with 'Yes' and 'No' radio buttons. On the right side of the form, there is a sidebar titled 'New business' with a list of steps: 1. Full client details, 2. Product selection, 3. Review, 4. Documents and declarations, 5. Confirmation. At the bottom of the sidebar, there are 'Save and exit' and 'Cancel' buttons.

Confirm if your client would like to receive paperless correspondence.



Going paperless offers benefits such as enhanced accessibility and better visibility for your clients, often resulting in faster turnaround times for signing documents.

Key message

To receive paperless correspondence, your client needs access to the customer portal. Until they log in and accept the terms, all correspondence will be sent by post. The correspondence preferences can be changed at any time, but some documents may still be sent by post for regulatory/security reasons. For more information, please see the Customer portal permissions helpsheet.

Select your client's marketing preferences.

The screenshot shows a user interface with two main sections. The first section is titled 'Paperless settings' and contains a question: 'Go paperless for client correspondence?'. Below this question are two radio buttons: 'Yes' (which is selected) and 'No'. Below the radio buttons is an information box with a blue border and a white background, containing a blue 'i' icon and the text: 'The customer will receive paper documentation until they have completed their online registration. Once complete, documents will then be issued electronically. Please note certain documents must always be sent as paper documents for legal, security or regulatory reasons. All documents can be accessed from the customer's online document library.' The second section is titled 'Marketing preferences' and contains a question: 'Allow receiving news and promotions?'. Below this question are two radio buttons: 'Yes' and 'No' (which is selected).



When marketing promotions are selected, your client will receive our latest news, and promotional campaigns, via email, post or text.

Select your client's employment status from the drop-down list.

For employed and self-employed client's enter employer details.

The screenshot displays a web form titled "Employment" with the following fields and options:

- Employment status:** A dropdown menu currently showing "Employed".
- Employer name:** A text input field containing "nucleus".
- Employer address:** A search input field containing "EH7 5jh". To the right of this field is the text "Or enter an address manually".
- Address suggestions:** A dropdown list showing three suggestions:
 - Doric House, 10 Blenheim Place Edinburgh, EH7 5JH
 - 12 Blenheim Place Edinburgh, EH7 5JH (highlighted)
 - Nadara, 12 Blenheim Place Edinburgh, EH7 5JH
- Warning:** A yellow box contains the text "adviser business addresses can't be used."
- Application rules:** A small icon and text "Application rules" are visible at the bottom left of the form.

On the right side of the form, there is a vertical list of steps:

2. Product selection
3. Review
4. Documents and declarations
5. Confirmation

Below the steps are three buttons:

- "Save and exit" (light purple)
- "Cancel" (light purple)
- "Next: Product selection" (dark purple)



All applicants must be a UK resident, or a Crown employee serving overseas. If this doesn't apply, or the client doesn't have a national insurance number, please provide their tax identification number issued by the country where they're a tax resident.

Enter all your client's regulatory and residency information.

If your client has dual citizenship select '**Add another**'.



We can't accept undischarged bankrupt clients.

Once you're happy with the client details, select '**Next: Product selection**' to move on to the product selection page.

Product selection

Confirm if you'd like to give the account a name.

Key message

No special characters can be included in the account name.



Naming the account will make it easy for you and your client to distinguish between the accounts on your client's home page. This name will be visible to your client in their customer portal.

Select '**Standard Nucleus Platform products**'.

Key message

The adviser firm the client is associated with determines the options that are available for selection.

Select '**Nucleus GIA**'.

Product selection Client ID: 2996

Would you like to name the account your products will be held in?
 Yes No

Please provide an account name
GIA savings pot

Please select from below

Standard Nucleus Platform products
 Nucleus Flexi SIPP

Available products
Based on your client's personal details, the following products are available:

Nucleus GIA
 Nucleus SIPP
 Nucleus ISA
 Nucleus Offshore Bond

New business

1. Full client details ✓
2. Product selection
3. GIA
4. Review
5. Documents and declarations
6. Confirmation

Save and exit
Cancel
Next: Payments in

Key message

You can select multiple products from the available list to include in this application.

Confirm all GIA options details.

Joint accounts

For joint accounts, enter the joint account holders name into the search bar.

Select **'Add'**.

Complete the joint account holder's details.

If the joint account holder is an active client this information will be pre-populated.

Once you're happy with your product selection select **'Next: Payments in'** to move on to the payments selection page.

GIA options

Client type

Individual Joint

Do you wish to take regular withdrawals?

Yes No

GIA options

Client type

Individual Joint

Do you wish to take regular withdrawals?

Yes No

Joint account holder

Q Alice Search

Client	Date of birth	Assigned adviser
Alice Test	01/01/1968	JM Test Adviser

Result per page: 5

Buttons: Cancel, Next: Payments in, Add

Payments in

Please select 'Yes' or 'No' for each payment type.

Key message

You can select multiple payment types in one application.

Single payments



Single payments must be sent via bank transfer using the displayed bank details, also accessible on the website. Include your client's account number, located at the end of the application, as the payment reference to avoid processing delays.

Enter single payment details.

The payment method will be automatically selected to bank transfer.

Regular payments

Enter the regular payment amount, payment frequency, first collection date and the duration.



The first collection date can be any date from 1st – 28th of the month. Please note, there's a minimum of 10 working days required to set up the direct debit instruction.

The payment method will be pre-selected to Direct Debit.

Select the bank account from the drop-down list.

If you need to add a new bank account, please select '**Add new bank account**'.

Regular payments in

Regular payment
 No Yes

Client

Regular payment: £ 100
Payment frequency: Monthly

First collection date: 04/06/2025
Until date: Until further notice

i An online Direct Debit can only be set up only with client consent. The Direct Debit instruction for signature will be available at the Documents and Declarations step.

Payment method: Direct Debit

Bank account: Please select

Add new bank account

Payments in

Adviser charges

Investment selection

4. Review

5. Documents and declarations

6. Confirmation

Save and exit

Cancel

Next: Adviser charges

Complete the client's bank details.

Confirm if the bank account is for '**Direct Debit instruction**' and/or a '**Nominated account for withdrawals**'.

Select '**Save**', to submit bank details.

Bank account details

Non-UK bank accounts can't be set up through the platform. Please visit the Literature app to find the relevant form to download, complete and return to us for this bank account to be added.

Owner
Individual

Bank name
Test

Sort code
11 11 12

Account number
12345678

Building society roll number (optional)

Account name

Address line 1 (optional)

Address line 2 (optional)

New business

1. Full client details ✓
2. Product selection ✓
3. GIA
 - Payments in
 - Adviser charges
 - Investment selection
4. Review
5. Documents and declarations
6. Confirmation

Save and exit

Cancel

Next: Adviser charges

Postcode (optional)

Direct Debit instruction

Nominated account for withdrawals

The Direct Debit instruction will be requested to be signed when this bank account is first selected in any of the following requests:

- New business
- Add product
- Add regular payment

Cancel Save

Save and exit

Cancel

Next: Adviser charges

Transfer payments

Select 'Yes'.

Enter the transferring scheme's name into the search bar and select the provider from the search list.

Key message

If you're unable to locate the transferring scheme using the search bar, you can add the details manually by selecting '**Add provider manually**'. Please be aware that adding a transferring scheme manually when it's available from the search bar may lead to delays in processing the transfer.

Confirm whether this is either a cash or in-specie transfer.

Cash transfer payments

Enter all the transfer details.

If there are multiple transfers, select '**Add another transfer**'.

The screenshot shows a web form for processing a transfer. At the top, there's a 'Transfers' section with a 'Transfer in' radio button set to 'Yes'. Below this is a yellow warning box with an information icon and the text 'Your client must sign a transfer authority form for each transfer.' The main section is titled 'Transfer 1' and contains several input fields: 'Transferring scheme' with a search bar containing 'Nucleus Financial Group Limited' and a '+ Add provider manually' button; 'Electronic transfer supported?' with a 'No' selection; 'Transfer type' with 'Cash' selected and 'Re-register assets' as an option; 'Estimated current value' with a field containing '£ 200000'; and 'Transferring account number' with a field containing '45678901'. Below these fields is a note: 'Providing the account number will help to process the transfer.' At the bottom of the form, there's a 'Full or partial transfer' section with 'Full' selected and 'Partial' as an option, followed by a '+ Add another transfer' button. On the right side of the form, there's a vertical navigation menu with steps: 1. Full client details (checked), 2. Product selection (checked), 3. GIA (selected), 4. Review, 5. Documents and declarations, and 6. Confirmation. Below the menu are three buttons: 'Save and exit', 'Cancel', and a purple 'Next: Adviser charges' button.

Re-registered assets

Enter all transfer details.

Key message

You can use the fund name, ISIN, SEDOL number to search for a fund. If any fund you require is suspended, please contact us.

The screenshot displays a web application interface for processing a GIA new business application. It is divided into two main sections: 'Transfers' and 'New business'.

Transfers Section:

- Transfer In:** Radio buttons for 'No' and 'Yes', with 'Yes' selected.
- Warning:** A yellow box with an information icon states: "Your client must sign a transfer authority form for each transfer."
- Transfer 1:**
 - Transferring scheme:** A search box containing "James Hay Pension Trustees Limited" and a link to "Add provider manually".
 - Electronic transfer supported?:** "No".
 - Transfer type:** Radio buttons for "Cash" and "Re-register assets", with "Re-register assets" selected.
 - Total estimated transfer value:** "£0.00".
 - Transferring account number:** A text box containing "123456". Below it, a note says: "Providing the account number will help to process the transfer."
 - Full or partial transfer:** Radio buttons for "Full" and "Partial", with "Full" selected.

New business Section:

- Progress list:
 1. Full client details ✓
 2. Product selection ✓
 3. GIA
 - Payments in
 - Adviser charges
 - Investment selection
 4. Review
 5. Documents and declarations
 6. Confirmation
- Buttons: "Save and exit", "Cancel", and "Next: Adviser charges".

Enter the assets that will be re-registered into the search bar.

Enter each asset's units.

Enter the cash portion value of the transfer.

If there are multiple transfers, select '**Add another transfer**'.

Assets to be re-registered

Funds Exchange traded Other investments

☆ Favourites

Q

Advanced search

Code	Investment	Quantity (units)
BFBS.GB	7IM AAP Balanced C Acc	148
OGIZ.GB	BlackRock Absolute Return Bond D Acc	220
11VD.GB	AXA Framlington Biotech Z Acc	100

! If more units are held than specified, we'll transfer all units held for this account number.

Cash portion of the transfer

£ 2000

+ Add another transfer

Payments in

Adviser charges

Investment selection

4. Review

5. Documents and declarations

6. Confirmation

Save and exit

Cancel

Next: Adviser charges

Select the source of wealth from the drop-down list.

Once you're happy with the payment preferences, select '**Next: Adviser charges**' to move on to the adviser charges page.

Source of wealth

Source of wealth ⓘ

Savings/investments

Adviser charges

If you're applying for an initial adviser charge, please enter the amount and select '£' or '%'.
Confirm if any ongoing adviser charges will be deducted from the head account or product.



For more information about adviser charges at either head or product level, please refer to the Adviser Charges Help sheet.

Key message

Flat rates and annual rates can only be applied to products. Head account ongoing adviser charges will require tiering.

The screenshot shows a web interface for a 'New business' application. The main heading is 'Adviser charges'. In the top right corner, the client's name 'Steve Brown' and 'Client ID: 3000' are displayed. On the left, under the heading 'Adviser charges', there is a section for 'Initial adviser charge' with a sub-note: 'Charge amount should be gross of VAT (for re-registration transfers)'. A text input field contains the number '1', followed by a dropdown menu currently set to '£'. A blue callout bubble highlights this dropdown menu. Below this, it says 'Estimated £28.04'. There is also a section for 'Ongoing Adviser Charge (OAC)' with a note: 'Ongoing Adviser Charge (OAC) charged on'. Two radio buttons are present: 'Head account' (which is selected) and 'Product'. On the right side of the form, there is a 'New business' progress checklist with three items: '1. Full client details' (checked), '2. Product selection' (checked), and '3. GIA' (unchecked). Under '3. GIA', there are two sub-items: 'Payments in' (checked) and 'Adviser charges' (unchecked).

Head account ongoing adviser charge

Choose 'Head Account'

Select the 'Tiers' drop-down, to select a tiering structure that's saved on your network.

To create new tiering groups, enter the lower limit amount, and then select 'Insert tier'.

Repeat step, to set up all tiering groups.

Enter a percentage rate for each tiering group.

Key message

You can set up a maximum of 11 tier groups.

Select the frequency from the drop-down list.

Confirm whether any assets should be excluded when calculating the ongoing adviser charge (OAC).

Confirm if the charges should be redirected from the SIPP.

Adviser charges

Initial adviser charge ⓘ
Charge amount should be gross of VAT (for re-registration transfers)

1 % Charge type ⓘ £ %

Estimated £28.04

Ongoing Adviser Charge (OAC)
Ongoing Adviser Charge (OAC) charged on

Head account Product

Tiers
JHP-65115

Add lower limit
£

Tiering group	Rate	Action
First £5,000.00	3 %	<input type="button" value="✕"/>
From £5,000.00 to £8,000.00	2.8 %	<input type="button" value="✕"/>
From £8,000.00 to £12,000.00	2.6 %	<input type="button" value="✕"/>
From £12,000.00 to £18,000.00	2.4 %	<input type="button" value="✕"/>
From £18,000.00 to £25,000.00	2 %	<input type="button" value="✕"/>
From £25,000.00	1 %	<input type="button" value="✕"/>

Frequency
Monthly

Do you want to exclude assets when calculating OAC?
 Yes No

New business

1. Full client details ✓
2. Product selection ✓
3. GIA
 - Payments in ✓
 - Adviser charges
 - Investment selection
4. Review
5. Documents and declarations
6. Confirmation

Product ongoing adviser charge

Select '£' or '%'.

Confirm if the product ongoing adviser charge is tiered.

If the ongoing adviser charge isn't tiered, enter the annual rate amount and the frequency.

Key message

If there are no adviser charges to be deducted, enter '0' into the fields.

Confirm whether any assets should be excluded when calculating the ongoing adviser charge.

Ongoing Adviser Charge (OAC)

Ongoing Adviser Charge (OAC) charged on

Head account Product

£ %

Do you wish to set up tiers?

Yes No

Annual rate

Charge amount should be gross of VAT

2 %

Frequency

Monthly

Do you want to exclude assets when calculating OAC?

Yes No

Payments in ✓

Adviser charges

Investment selection

4. Review

5. Documents and declarations

6. Confirmation

Save and exit

Cancel

Next: Investment selection

Regular adviser charge



The regular adviser charge appears if a regular payment has been selected.

Please enter the fee payment amount, frequency and the number of fee payments.

Once you're happy with your adviser charge preferences, select '**Next: Investment selection**' to move on to the investment selection page.

Regular adviser charges

i If you want to collect the initial adviser charge in full, you'll need to record the adviser charges here as '0' and then process an ad-hoc adviser charge.

Fee payment
Charge amount should be gross of VAT
£ 10

Frequency
Monthly

Number of fee payments
24

Total fee amount: £240.00
Projected end date: 12 May 2027

Save and exit

Cancel

Next: Investment selection

Investment selection

Select the investment type across the headings shown.



Your client can now hold loose assets, multiple model portfolios, ETIs, and other investments - all within the same account. Investments will be purchased when funds are available for investment.

Enter the investment name into the search bar. Select the investment from the search results.

Enter a percentage for each investment choice. Ensuring the totals equals 100%.

Key message

When multiple products are selected, additional investment fields will appear. If there's an investment for a specific product which is non-tradable, this will be shown as 0% and can't be amended.

Select a dividend option.

Once you're happy with your investment preferences, select **'Next: Review'** to move on to the review page.

Investment selection

Funds
Exchange traded
Cash products
Managed portfolios
Adviser portfolios

Other investments

☆ Favourites
[Advanced search](#)

Investment

Investment name	Regular monthly
<input type="radio"/> 7IM AAP Balanced C Inc	40 % <input type="text" value=""/>
<input type="radio"/> ABR Test Model 4 V4	50 % <input type="text" value=""/>
Cash	10 % <input type="text" value=""/>
Total	100%

Distribution preference

Please select from one of the following options:

Reinvest

Leave as cash in GIA

Transfer to a nominated bank account

New business

1. Full client details ✓
2. Product selection ✓
3. GIA
 - Payments in ✓
 - Adviser charges ✓
 - Investment selection
4. Review
5. Documents and declarations
6. Confirmation

Save and exit

Cancel

Next: Review

Review

Please review the application details for accuracy. Use the pencil icon to make any necessary changes.

Once you're happy with the accuracy of the application, select '**Next: Declarations & Documents**' to move on to the declaration and documents page.

New business
Steve Brown
Client ID: 3000

Review

Full details ✎

Did you give financial advice to your client in relation to this instruction?	Yes
Client portal access	Yes

Name	Mr Steve Brown
Date of birth	4 April 1984
Marital status	Not specified
National insurance number	JZ652012A
Country of nationality	UK
Is this client's primary citizenship?	Yes
Tax residency	UK only

Email address	john@gmail.com
Mobile number	+44 75678445678
Residential address	204 The Rackhay, Bristol, BS1 4HJ, UK
Correspondence address	Same as residential

Paperless preference	Yes
News and promotions	No

Employment status	Employed
-------------------	----------

New business

1. Full client details ✓
2. Product selection ✓
3. GIA
 - Payments in ✓
 - Adviser charges ✓
 - Investment selection ✓
4. Review
5. Documents and declarations
6. Confirmation

Save and exit

Cancel

Next: Documents and declarations

GIA

Account type	Individual
--------------	------------

23 |

Declarations and documents

Select the method in which your client wishes to sign their documents.

Please note the documents can't be amended once submitted. If there are any amendments to be made to documentation you will need to resubmit the application to generate new documents.

! You'll be notified when documents are sent to your client. Digital documents are available for e-signature for 60 days. After that, they're deleted and you'll need to resubmit the application or use a wet signature instead.

Key message

Please be advised, for joint applications you will only be able to use the wet signature option.

! If wet signature is selected, download and share the documents with your client to be signed. Once signed please send to us via secure message.

Please read through the declarations and tick to confirm completion.

Select **'Submit'** to submit the new business application.

The screenshot displays a web form for a GIA new business application. The form is divided into two main sections: 'Declarations' and 'New business'.

Declarations

- Information provided**
 - I have appropriate consent from my client(s) (applicant) and from any third party referenced in the application to share their personal data and make this application on their behalf.
 - The information provided in this application, including special category data where expressly required, is accurate and complete to the best of my knowledge.
 - I can confirm that I've verified that the email address and mobile number entered in this application are the client's (applicant's) own email and mobile number and not that of a third party.
 - I understand it's my responsibility to complete and send any additional information required to Nucleus in relation to this application, as shown in the previous Documents page or as otherwise required.
 - I acknowledge and accept that if any information supplied in this application form and/or associated documentation is incorrect or incomplete, this may delay the application and any associated transfer or invalidate the Product.
- Additional assistance for client(s)**
 - If my client(s) need any additional assistance in relation to their products they hold with Nucleus (for example braille or large print documents) or has any temporary or permanent vulnerabilities that Nucleus should be aware of, I'll inform Nucleus of this but only where I have explicit consent from my client(s) to share such information. I understand that Nucleus may need to contact me or my client regarding this assistance to ensure the most appropriate level of support is provided.
- Client(s) online access and electronic communications**
 - I've agreed with my client(s) that they should register for online access to their Nucleus platform account and explained that they'll receive an email with instructions on how to complete their registration.
 - My client(s) wish to receive correspondence from Nucleus, in an electronic format wherever possible. They've also agreed to ensure they complete their registration for online access as soon as possible in order to be able to reach it. I've told them that they'll receive paper correspondence until they've completed their registration for online access.
- Disclosure**
 - I've provided my client(s) with all required regulatory disclosure documents including, as applicable, a key features illustration, Key Information Documents (KIDs)/Key Investor Information Documents (KIIDs)/Key Investor Information documents (NURS KII), and costs and charges documents for the relevant product and/or investment.
 - I've provided my client(s) with a copy of the relevant Nucleus terms and conditions, charges, key features documents, permitted investments list and the Nucleus privacy notice.
 - I confirm that my client(s) are eligible, under the Platform Services terms and conditions in accordance with applicable law and regulations and the terms of the relevant investment provider(s) or issuer(s), to invest in the chosen investment(s).
 - I've told my client(s) that funds transferred in (re-registered) to the Nucleus platform, will automatically be converted to the cheapest share class available on the platform, where a cheaper class is available.
- Investments**
 - I confirm that I've considered the target market for the investment selection(s) made and that my client(s) fall within this.

Confirm all

New business

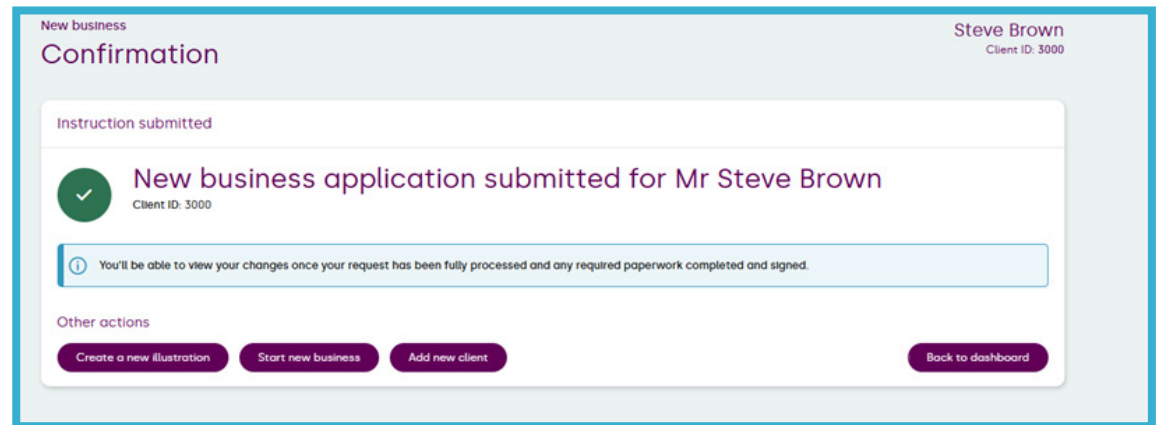
- 1. Full client details
- 2. Product selection
- 3. GIA
 - Payments in
 - Adviser charges
 - Investment selection
- 4. Review
- 5. Documents and declarations
- 6. Confirmation


Buttons: Save and exit, Submit (highlighted with a red circle)

The application has been successfully submitted.


Key message

Please note submitting this application creates the account, to activate the account a signature from the client is required and the client must pass regulatory checks.



 03455 212 414

 ask@nucleusfinancial.com

 nucleusfinancial.com

For literature in alternative formats, such as Braille, large print, audio or E-text, please call us on 03455 212 414, or via the Typetalk service on 18001 03455 212 414.

"Nucleus" is the trading name for Nucleus Financial Platforms Limited (NFPL) (registered in England, number 06033126), Nucleus Group Services Limited (NGSL) (registered in England, number 02538532); James Hay Services Limited (JHS) (registered in Jersey, number 77318); James Hay Administration Company Limited (JHAC) (registered in England, number 04068398); James Hay Pension Trustees Limited (JHPT) (registered in England, number 01435887); James Hay Wrap Managers Limited (JHWM) (registered in England, number 04773695); James Hay Wrap Nominee Company Limited (JHWNC) (registered in England, number 07259308); Nucleus Financial Services Limited (NFS) (registered in England, number 05629686). NFPL, NFS, NGSL, JHAC, JHPT, JHWM, JHWNC have their registered office at Suite B & C, First Floor, Milford House, 43-55 Milford Street, Salisbury, SP1 2BP. JHS has its registered office at Aztec Group House, IFC6, The Esplanade, St Helier, Jersey, JE4 0QH. JHAC, JHWM and NFS are authorised and regulated by the Financial Conduct Authority. NFPL, NGSL, NFS, JHWM, JHPT, JHAC and JHS are members of a VAT group with VAT registration number 514 0358 80. All companies are wholly owned subsidiaries of NFPL. Further details of the Nucleus Group can be found at nucleusfinancial.com. (12/25)