Ad-hoc fee - client authority

Completing the form

For use with ad-hoc fee requests where a single request or cumulative value over the last 12 months exceeds £10,000.

Please complete and sign before sending to us at the below address or via Jira messaging.

Returning the form

Please return the completed form via Jira messaging or by post to:

Nucleus
PO Box 26968
Glasgow
G2 9DY

Signing the form

We can accept handwritten signatures, or electronic signatures using one of our preferred software providers.

If anyone forgets to add a date to their signature, we'll treat the day we receive this form as the signing date.

If you have any questions please contact our client relations team on 0131 226 9535, or by email on client.relations@nucleusfinancial.com.

Additional Support

We recognise that personal circumstances, life altering events, or health issues could mean that you need some extra support. If there is anything we can do to help make dealing with us easier, please speak to one of our team and we'll work with you to put the right help in place. For more informaton on the types of support we can offer, visit nucleusfinancial.com/supporting-customers.

We aren't authorised to provide financial advice, so we can't provide you with any recommendations or guidance.

Adviser name

Adviser firm

Client name

In support of the fee being requested, please provide details of the advice provided.

For example, this could be a pension or Isa transfer, Isa top up, switch etc. If the fee is in relation to advice given or non Nucleus products please provide details.

Account type (e.g. General, Isa)	Account number (N number)	Adviser fee to be deducted as a one-off payment from the cash element of the account(s) stated, where the fee amount to be paid is £10,000 or more Please ensure this is expressed as a monetary amount for each account and not a percentage
1.	N	£
2.	N	£
3.	N	£

Ad-hoc Fee - client authority (continued)

Declaration – to be signed by the client

I/We hereby authorise Nucleus to pay my/our adviser the fee(s) as shown above.

Client/First trustee signature

Joint holder/Second trustee signature

Print name

Print name

Date

Date

Third trustee signature

Fourth trustee signature

Print name

Print name

Date

Date

Note: all individual trustees must sign so if there are more than four please photo copy this page and delete the trustee numbers and replace as necessary.

Or

Signed by the adviser on behalf of the client - we'll accept your signature if your firm has a fee agreement signed and dated by your client, and where the above ad-hoc fee is explicitly referenced and agreed to by the client. If this is the case, please also attach the signed agreement for verification.

Signature

Date

Ad-hoc Fee - client authority (continued)

For literature in alternative formats, such as Braille, large print, audio or E-text, please call us on 0131 226 9535, or via the Relay UK service on 18001 0131 226 9535.

Please note that telephone calls may be recorded in order to monitor the quality of our customer service and for training purposes.

0131 226 9535 client.relations@nucleusfinancial.com nucleusfinancial.com

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