



ISA additional permitted subscription (APS) transfer

From an external ISA manager to an ISA with Nucleus

Please complete this form in BLOCK CAPITALS and return it by:

- **post** to Nucleus, Suite 202 Warner House, 123 Castle Street, Salisbury, SP1 3TB, or
- **secure message** via your online account with us.

If you need any help, please call your Customer Support Team or our general enquiry number 03455 212 414.

Please use this form if you wish to make use of an additional permitted subscription (APS) allowance transferred from an ISA held outside of Nucleus. The APS transfer must be made in line with HM Revenue and Customs (HMRC) rules, by the surviving spouse/civil partner of the ISA holder.

After the APS value is transferred to us, you can make additional subscriptions up to the value of the APS allowance.

Please note that if the deceased held multiple ISAs with a provider, their value will be combined to form one total APS allowance.

You should be aware that an APS allowance can only be transferred once, and only where no subscriptions have already been made under that allowance.

Section 1 - Nucleus customer details

Full name

Permanent residential address

Postcode

Date of birth (DD/MM/YYYY)

National Insurance number

OR

I have never had a National Insurance number

Section 2 - Details of the deceased

Full name

Permanent residential address at date of death

Postcode

Date of birth (DD/MM/YYYY)

National Insurance number (if known)

Date of death (DD/MM/YYYY)

Date of your marriage or civil partnership
(DD/MM/YYYY)

Section 3 - APS allowance transfer information

Name of transferring ISA manager

Address of ISA manager

Postcode

Deceased's ISA account number(s)

For the transferring ISA manager named above

We, Nucleus Financial Services, are willing to accept this APS allowance transfer in line with the customer's request, subject to the completion of all relevant checks.

Section 4 - Subscription details

I wish to subscribe the following amount from my APS allowance:

The subscription is being made by:

In-specie transfer (movement of assets) – within 180 days of beneficial ownership passing to me as the surviving spouse/civil partner,

OR

Cash – within three years of the date of death, or if later, 180 days of the completion of the administration of the estate.

Section 5 - Declaration

By submitting this form, I declare that:

- I am the surviving spouse / civil partner of the deceased
- I was living with the deceased (within the meaning of Section 1011 of the Income Tax Act 2007) at the date of the deceased's death, and we were not separated under a court order, deed of separation, or in circumstances where the marriage or civil partnership had broken down
- I have not/will not subscribe using the APS allowance with the existing ISA manager in respect of the deceased named on this form
- I intend to make an additional permitted subscription to my Nucleus product only
- this subscription is made under the provisions of regulation 5DDA of the ISA regulations (additional permitted subscription).

I authorise the existing ISA manager above to provide Nucleus with any information concerning the APS allowance and the former ISA in respect of myself and the deceased, and to liaise with Nucleus to facilitate the transfer of the APS allowance.

I declare that the information provided in this form is correct and complete to the best of my knowledge and belief.

Signature

Date (DD/MM/YYYY)



03455 212 414



ask@nucleusfinancial.com



nucleusfinancial.com

For literature in alternative formats, such as Braille, large print, audio or E-text, please call us on 03455 212 414, or via the Typetalk service on 18001 03455 212 414.

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