



Change of registered contact form

For Junior SIPP or Junior ISA only

Please complete this form in BLOCK CAPITALS and return it by:

- **post** to Nucleus, Suite 202 Warner House, 123 Castle Street, Salisbury, SP1 3TB, or
- **secure message** via your online account with us.

If you need any help, please call your Customer Support Team or our general enquiry number 03455 212 414.

Using this form

This form allows you to change the registered contact for a Junior SIPP or Junior ISA. A registered contact is the person with responsibility for the child that owns the product, and is our primary point of contact.

As only one registered contact can be assigned to a product at any time, this form should be signed by both the current registered contact and the person replacing them. The consent of the existing registered contact is not required if the applicant for registered contact is the account holder who is 16 years or older.

Before completing this application form, the new registered contact should familiarise themselves with the product's:

- Terms and Conditions
- Charges Schedule
- Permitted Investments List, and
- Key Features Document

These documents are available on our website literature.nucleusfinancial.com or on request.

There is a lot of information for you to consider. If you are in any doubt we strongly recommend that you seek advice from your financial adviser.

If you do not have an adviser and would like to speak to one, you can obtain a list of advisers local to your area by visiting moneyhelper.org.uk or by calling MoneyHelper on 0800 011 3797.

Please note that if you disclose personal information about a third party, you must ensure you have their permission and have informed them of the purposes of which their information will be processed.

Section 1 - Child's details

Title

Forename(s)

Surname

Date of birth (DD/MM/YYYY)

Permanent residential address

Postcode

National Insurance number (if they have one)

Product number

Product type

Junior SIPP

Junior ISA

Section 2 - Current registered contact details

Title

Forename(s)

Surname

Date of birth (DD/MM/YYYY)

National Insurance number

Permanent residential address

Postcode

Reason for change of registered contact
(if applicable)

In the event of the death or incapacity of the registered contact or a court ruling, please provide us with an original or certified copy of the appropriate legal document(s).

I request to be removed as the registered contact for the child on the product, and to be replaced by the new registered contact, with effect from the date of this application.

Current registered contact's signature

Current registered contact's name

Date (DD/MM/YYYY)

Section 3 - New registered contact details

Are you the child named in Section 1 of the form, who is now 16 years of age or over?

Yes No

If **Yes**, please proceed to Section 4.

Title

Forename(s)

Surname

Date of birth (DD/MM/YYYY)

National Insurance number

Permanent residential address

Postcode

Telephone

Email

Relationship to the child

Do you currently hold any open Nucleus products?

Yes No

If **Yes**, please provide your Nucleus product number

Section 4 - New registered contact declaration

I agree to the change of registered contact for the product belonging to the child named in this form, and agree to act as the sole registered contact until you are otherwise notified.

I confirm that I am aged 16 years or over (applicable to the Junior ISA) or have parental responsibility for the child named in this form.

I am resident in the United Kingdom for tax purposes. I will inform Nucleus if I cease to be resident of the United Kingdom.

I confirm that the details given in this form are correct and complete to the best of my knowledge and belief, and I will notify Nucleus immediately of any changes.

I accept it is an offence to make false statements, and that the penalties are severe and could lead to prosecution.

I agree to be bound by the Terms and Conditions of the product, as they apply to me as a registered contact for the child named in this form.

Applicable to Nucleus Junior SIPP only:

I confirm that:

- a. the the total contributions to any registered pension schemes in respect of which the child named in section 1 is entitled to tax relief in a tax year will not exceed the higher of (a) the basic amount (currently £3,600), or (b) the child's relevant UK earnings, for that tax year;
- b. the declaration in a) is correct, to the best of my knowledge and belief;
- c. I will give notice to Nucleus if an event occurs, and as a result the child is no longer be entitled to such contribution tax relief. I will give this notice by the later of (i) the end of the tax year in which the event occurs and (ii) 30 days of the event.

Applicable to Nucleus Junior ISA only:

I authorise Nucleus Financial Services Limited to:

- hold the child's cash subscriptions, ISA investments, interest, dividends and any other rights or proceeds in respect of those investments and any other cash
- make, on the child's behalf, any claims to relief from tax in respect of ISA investments.

I have not subscribed, and will not subscribe, more than the overall annual limit in total to the child's Junior ISA within a tax year.

I have not subscribed, and will not subscribe, to another stocks and shares ISA in the same tax year that I subscribe to this stocks and shares ISA.

New registered contact's signature

Full name

Date (DD/MM/YYYY)

Checklist of identity documentation required (If applicable)

When you apply for a product through Nucleus, we need to verify your identity. If you have a financial adviser, they can do this for you. If not, you will need to supply us with appropriate documentation from the lists below.

Please send photocopies of the documentation to Nucleus, Suite 202 Warner House, 123 Castle Street, Salisbury, SP1 3TB.

You can access full details on what to expect when we process personal data in our group Privacy Notice, which is available on our website nucleusfinancial.com or on request. If you have any questions about data protection, please contact us.

1. Evidence of identity for the new registered contact

Please enclose black and white photocopies of two documents - one from list A and one from list B. Items from the same source cannot be used twice.

List A

- Unexpired passport
- Unexpired UK old style driving licence (not provisional)
- Unexpired UK photocard driving licence
- Firearms certificate or shotgun licence
- EEA or Switzerland national identity card
- Northern Ireland voters card.


List B

- Unexpired UK old style driving licence (not provisional)
- Unexpired UK photocard driving licence
- Council tax bill dated within the last 12 months
- Firearms certificate or shotgun licence
- Credit card or bank statement dated within the last three months (not internet printed)
- Utility bill dated within the last three months (not mobile phone, satellite/cable TV or internet printed bills)
- HMRC coding/ assessment/statement/tax credit
- Northern Ireland voters card.


2. Evidence of the new registered contact's relationship to the child

If you are the parent of the child and are named on their birth certificate, please provide this document.

Otherwise a certified copy of the document that appoints you as their legal guardian is required, such as a court order, or a will naming you guardian, along with the grant of probate.

 03455 212 414

 ask@nucleusfinancial.com

 nucleusfinancial.com

For literature in alternative formats, such as Braille, large print, audio or E-text, please call us on 03455 212 414, or via the Typetalk service on 18001 03455 212 414.

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