



nucleus^o platform

Director's statement (Unquoted shares)

(For Nucleus Modular iSIPP only)

Please complete this form in BLOCK CAPITALS and return it by:

- **post** to Nucleus, Suite 202 Warner House, 123 Castle Street, Salisbury, SP1 3TB, or
- **email** to the Nucleus Investment Oversight Team - investmentoversight@nucleusfinancial.com.

If you need any help, please call your Customer Support Team or our general enquiry number 03455 212 414.

Using this form

It is a requirement for the SIPP investment that this form is completed by a director of the company and signed by two directors of the company.

This form is for top-ups to existing investments only as new unquoted share investments are not permitted.

Please note: if you disclose personal information about a third party, please ensure you have their permission and have informed them of the purposes for which their information will be processed, before doing so.

Section 1 - Company details

Company name

Please describe the business operation and the market sector it operates/will operate in.

Please provide an overview of the marketing strategy for the company shares and what type of investors are being targeted.

What is the prime objective for the company in the sale of its shares?

How was the share price arrived at?

We may need information regarding the shareholding and copies of any publicly available valuations in the future. Please confirm that you will assist with any requests for information and provide a contact name, address, telephone number and email address that we can use.

Section 2 - Declaration

I confirm:

- a. that the information given above is factually accurate to the best of my knowledge. I accept that this information may be shared with HM Revenue & Customs (HMRC), if requested.
- b. that the business is not involved in any activity that could be construed by HMRC as being for the purpose of pension liberation.
- c. that to the best of my knowledge there is nothing about the company's business activities that could conflict with the shares being held within a SIPP.
- d. that the Directors will provide all information reasonably requested by Nucleus including, but not limited to, evidence of share valuation and ownership.

Signature


Print name


Date (DD/MM/YYYY)


Signature

Print name

Date (DD/MM/YYYY)

 03455 212 414

 ask@nucleusfinancial.com

 nucleusfinancial.com

For literature in alternative formats, such as Braille, large print, audio or E-text, please call us on 03455 212 414, or via the Typetalk service on 18001 03455 212 414.

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