Pension transfer form

Nucleus client relations, PO BOX 26968, Glasgow G2 9DY.

Please complete and sign before forwarding to us at the address above, or via Jira messaging. If the form is not dated, we'll treat the day we receive this form as the signing date.

If you've any questions please contact our client relations team who'll be delighted to help.

0131 226 9535 client.relations@nucleusfinancial.com

Important information

Where necessary, we'll send a scanned copy of this form to the ceding scheme via email. However, in some cases forms may need to be posted, we would like to remind you to double check the ceding company address being added to this form. Nucleus don't maintain a register of provider addresses and therefore depend on the information included on this form. If this address is incorrect, it may ultimately cause delays to receipt of the transfer proceeds.

Pension Wise

Pension Wise

Pension Wise is a government service that can help you understand what your overall financial situation will be when you retire.

You can call 0800 138 3944 to book a free appointment.

Personal details

Name

Nucleus reference number (if known)

Adviser name

Adviser firm

Ceding scheme details

Full name of ceding pension scheme (where known)

Full name of ceding scheme provider

Address of scheme administrator

Contact phone number

Contact email address

Transfer details

Policy or plan number(s)

Is this a full or partial transfer of benefits?

☐ Full ☐ Partial

Approximate transfer value to be paid to Nucleus

Are the sums and assets being transferred (tick whichever applies)

☐ Already entirely in drawdown

☐ Already partially in drawdown

☐ Not in drawdown

Guarantee date (if applicable)

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Is this a defined benefit scheme?

☐ Yes ☐ No

Please note that we can't accept transfers from defined benefits schemes unless you are following financial advice, regardless of the value.

Does the transfer contain any safeguarded benefits?

☐ Yes ☐ No

Is the current plan subject to any existing or proposed trustee in bankruptcy, earmarking, pension sharing orders or other court orders?

☐ Yes ☐ No

If Yes, please provide further details

Is this transfer taking place, at least in part, to start taking pension benefits?

☐ Yes ☐ No

Have you received guidance from Pension Wise?

☐ Yes ☐ No

Client authorisation

1 I authorise, instruct and apply to the ceding scheme provider to transfer sums and assets from the plan(s) as listed in the ceding scheme details section directly to Nucleus Financial Services Limited and to provide any instructions and/or discharge required by any relevant third party to do so.

2. I authorise Nucleus, the ceding scheme provider, any contributing Employer and any financial intermediary named in this application to obtain from each other, and release to each other, any information that may be required to enable the transfer of sums and assets to Nucleus.

3. Until this application is accepted and complete, Nucleus' responsibility is limited to the return of the total payment to the ceding scheme's provider.

4. When payment is made to Nucleus as instructed, this means I shall no longer be entitled to receive pension benefits from the whole of the plan listed in the ceding scheme details section, where the whole of the plan is transferring, or that part of the plan represented by the payment if only part of the plan is transferring.

5. I have read any information provided or made available to me by the ceding scheme provider in connection with this transfer.

6. I accept responsibility in respect of any claims, losses, expenses, additional tax charges or any penalties that Nucleus and the ceding scheme's provider may incur as a result of any incorrect, untrue or misleading information in this application or given by me, or on behalf, or of any failure on my part to comply with any aspect of this application. This includes where I have been asked to provide any original policy document(s) in return for the transfer of funds and I am unable to do so.

7. I understand that I may lose (i) any rights to take my pension benefits before age 55 if I currently have an agreed lower retirement age with HM Revenue & Customs (HMRC) as a result of my occupation and (ii) any protected pension commencement lump sum benefits which I have retained under the previous arrangement unless the transfer is part of a block transfer.

8. I understand I may lose rights to enhanced protection or fixed protection if the transfer is not a permitted transfer under HMRC legislation.

9. I understand the proceeds of this transfer will not be invested nor will benefits be paid until all the relevant information has been obtained from the scheme administrator.

10. I accept that in order to comply with regulatory obligations, Nucleus and the ceding scheme provider named in this application may need to verify my identity and residential address, and may use credit reference agency searches and ask for my documents to verify my identity and address.

11. I have read guidance from the Financial Conduct Authority or the Pensions Regulator on risks and warning signs of pension scams before making this application.

Signed

Date

0131 226 9535 client.relations@nucleusfinancial.com www.nucleusfinancial.com

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