



RL360

Offshore Bond application for trustees

Provided by RL360 Insurance Company Limited (RL360)

Please complete this form in BLOCK CAPITALS and return it by:

- **post** to RL360 Insurance Company Limited, c/o Nucleus, Suite 202 Warner House, 123 Castle Street, Salisbury, SP1 3TB, or
- **secure message** via your online account with us.

If you need any help, please call your Customer Support Team or our general enquiry number 03455 212 414.

Using this form

Please use this form to apply for an Offshore Bond, which is provided by RL360 Insurance Company Limited ("RL360").

The Offshore Bond is for applicants who are resident in the UK only. Please see our Platform Terms and Conditions and RL360's **Offshore Bond Terms and Conditions** for further details. These documents are available on our website literature.nucleusfinancial.com or on request.

In order to satisfy the Isle of Man's Anti-Money Laundering and Countering the Financing of Terrorism Code, RL360 is required to check the identity and address of each applicant for the Offshore Bond, and make enquiries to understand and evidence the source of the money that is to be invested. For your convenience, a complete checklist of all requirements can be found at the back of this application form.

Please check the details entered in this application form are correct and it is signed. You can add additional information on the notes page if required. Once you have signed the application form, please return it to: RL360 Insurance Company Limited, c/o Nucleus, Suite 202 Warner House, 123 Castle Street, Salisbury, SP1 3TB.

Please do not send any funds until the application has been approved by RL360.

Guidelines for satisfactory evidence

Verification of trust

Documents that will be accepted as satisfactory evidence for the trust are:

- A certified copy of the Trust Deed.
- In the case of a Will Trust – a certified copy of the Will and Probate.
- A certified copy of any Deeds of Resignation and Appointment of Trustees.
- A certified copy of any Deeds of Variation.
- A certified copy of the Membership Certificate or equivalent (for QROPS, QNUPS and SIPPS).
- For existing trusts, Nucleus is required to obtain proof that the trust is registered with the Trustee Registration Service (TRS) or exempt. For this, Nucleus will require sight of the Proof of Registration document.

Verification of identity

Please note - we will need to complete identity verification for the following individuals:

- Settlor(s)
- Trustee(s)
- Protector(s)
- Any other third party that holds significant powers

Documents that will be accepted as satisfactory evidence of identity are:

- A valid "full" passport (expiry date no less than 6 months).
- A valid photo driving licence
- A national ID card (carrying a photograph).
- Where the above documents are not available, we must be provided with a reason and two government issued documents with appropriate reference numbers for consideration.

Verification residential of address

Please send an original, or certified copy of one of the following documents:

Type of document	Conditions
A recent account statement from a regulated bank, building society or credit card company	• The document must be no more than 6 months old • If the statement or bill has been issued electronically, it must clearly show the address of your property
A recent mortgage statement from a regulated lender	
A recent rates, council tax or utility bill (mobile phone bills are not acceptable))	
Correspondence from a central or local government agency	• The document should be no more than 6 months old, or the most recent version where issued annually
A photographic driving licence	• The document must be in date and valid • The same document cannot be used to evidence your identity
A photographic national identity card	
A full tenancy agreement	• The agreement must be in date • The agreement must be signed by all parties
Proof of ownership of your property, such as lawyer's confirmation or a property purchase or a legal document recognising title to the property	• The document must be signed by all appropriate parties

Documents for identity and address verification must be the most recent available.

Suitably Certified Documentation

RL360 can accept certification of copy documents by financial advisers who hold established Terms of Business with RL360 and regulated by the Financial Conduct Authority (FCA) under the writing agency. Please speak with your financial adviser to determine if they can certify your documents.

Where Suitable Certifier status isn't held, certification can only be accepted by one of the following:

- A Notary Public (or equivalent).
- A solicitor.
- A formally appointed member of the judiciary.
- An employee of RL360.
- A Commissioner for Oaths.

The certifier must:

- Add the statement "Certified as a true copy taken from the original".
- Sign and date the copy document on all pages.
- Print their name clearly in capitals underneath the signature.
- Record the capacity or position in which they're certifying the document.
- Add their company/official stamp or seal (if applicable).

RL360 must receive via Nucleus, documents which contain the original certification and stamp.

If this information isn't provided with the application this will result in a delay in acceptance and investment. When photocopying any document please ensure the photocopy is true to size. We are unable to accept copies reduced in size. You should also ensure the copy is legible.

All applicants must be UK resident (with the exception of Crown Servants who may be based abroad). Regardless of country of residence, we will not accept US persons. This is a Nucleus Policy not an RL360 requirement.

Section 1 - Policy basis

Please tick only one:

Life assurance

Capital redemption

Not issued

Reason

Section 2 - Trust details

Nucleus customer number

Not required in jurisdiction

Reason

Name of the trust

Correspondence name

Nature and purpose of the trust

Correspondence address

Date trust was established (DD/MM/YYYY)

Country of establishment/incorporation of trust

Postcode

Legal Entity Identifier Code

Telephone number (optional)

Trust's Unique Tax Reference Number (UTR) if registered with HMRC

Email address (optional)

We require sight of the Trustee Registration Service Proof of Registration document.

UTR exemption (if applicable):

Not required

Reason

Unable to obtain

Reason

Section 2a - Details of settlor(s)

First/sole settlor 1

Title

Forename(s)

Surname

Other previous names or aliases

Male Female

Date of birth (DD/MM/YYYY)

Country of birth

Nationality(ies)

Country of residence

Country or countries of tax residence

National Insurance number

Where you do not have a National Insurance number, please provide your functional equivalent. Examples of functional equivalents would be tax number or visa number.

Current residential address

Postcode

Length of time at current address
years months

If less than three years at your current address, please provide each previous address in the last three years, continuing on a separate sheet if necessary.

Previous address

Correspondence address (if different from residential address)

Postcode

Whose address is this? (Please tick as appropriate)

Self Friend/family

Other

Telephone

Email

If deceased, date of death (DD/MM/YYYY)

Additional/joint settlor 2

Title

Forename(s)

Surname

Other previous names or aliases

Correspondence address (if different from residential address)

Male Female

Date of birth (DD/MM/YYYY)

Postcode

Country of birth

Whose address is this? (Please tick as appropriate)

Nationality(ies)

Self Friend/family

Other

Country of residence

Telephone

Country or countries of tax residence

Email

National Insurance number

If deceased, date of death (DD/MM/YYYY)

Where you do not have a National Insurance number, please provide your functional equivalent. Examples of functional equivalents would be tax number or visa number.

If additional settlements are made subsequently, information as above must be supplied to us for each new case.

Current residential address

If the trust has any Protector(s), please provide their full name, date of birth, residential address, country/ countries of tax residence and National Insurance number or functional equivalent in the Notes section at the end of this form.

Postcode

Length of time at current address
 years months

If less than three years at your current address, please provide each previous address in the last three years, continuing on a separate sheet if necessary.

Previous address

Section 2b - Trustee details - individual trustees

Trustee 1		Current residential address	
Title			
Forename(s)		Postcode	
Surname		Length of time at current address years months	
Other previous names or aliases		If less than three years at your current address, please provide each previous address in the last three years, continuing on a separate sheet if necessary.	
Male	Female	Previous address	
Date of birth (DD/MM/YYYY)			
Country of birth			
Nationality(ies)		Correspondence address (if different from residential address)	
Country of residence		Postcode	
Country or countries of tax residence		Whose address is this? (Please tick as appropriate)	
National Insurance number		Self	Friend/family
		Other	
Where you do not have a National Insurance number, please provide your functional equivalent. Examples of functional equivalents would be tax number or visa number.		Telephone	
		Email	

Trustee 2

Title	Current residential address
Forename(s)	
Surname	Postcode
Other previous names or aliases	Length of time at current address years months
Male Female	If less than three years at your current address, please provide each previous address in the last three years, continuing on a separate sheet if necessary.
Date of birth (DD/MM/YYYY)	Previous address
Country of birth	
Nationality(ies)	Correspondence address (if different from residential address)
Country of residence	
Country or countries of tax residence	Postcode
National Insurance number	Whose address is this? (Please tick as appropriate)
	Self Friend/family
Where you do not have a National Insurance number, please provide your functional equivalent. Examples of functional equivalents would be tax number or visa number.	Other
	Telephone
	Email

Trustee 3

Title	Current residential address
Forename(s)	
Surname	Postcode
Other previous names or aliases	Length of time at current address years months
Male Female	If less than three years at your current address, please provide each previous address in the last three years, continuing on a separate sheet if necessary.
Date of birth (DD/MM/YYYY)	Previous address
Country of birth	
Nationality(ies)	Correspondence address (if different from residential address)
Country of residence	
Country or countries of tax residence	Postcode
National Insurance number	Whose address is this? (Please tick as appropriate)
	Self Friend/family
Where you do not have a National Insurance number, please provide your functional equivalent. Examples of functional equivalents would be tax number or visa number.	Other
	Telephone
	Email

Trustee 4

Title	Current residential address
Forename(s)	
Surname	Postcode
Other previous names or aliases	Length of time at current address years months
Male Female	If less than three years at your current address, please provide each previous address in the last three years, continuing on a separate sheet if necessary.
Date of birth (DD/MM/YYYY)	Previous address
Country of birth	
Nationality(ies)	Correspondence address (if different from residential address)
Country of residence	
Country or countries of tax residence	Postcode
National Insurance number	Whose address is this? (Please tick as appropriate)
	Self Friend/family
Where you do not have a National Insurance number, please provide your functional equivalent. Examples of functional equivalents would be tax number or visa number.	Other
	Telephone
	Email

Section 2c - Trustee details - corporate trustees

Executive Director/Partner 1 (must be completed)

Corporate trustee details

Corporate trustee name

Title

Forename(s)

Registered address

Surname

MaleFemale

Postcode

Date of birth (DD/MM/YYYY)

Contact name

Current residential address

Contact position

Postcode

Telephone number

Position

Email

Executive Director/Partner 2 (must be completed)

Global Intermediary Identification Number (GIIN)

Title

Forename(s)

Directors or partners

You will need to provide us with a list of all directors or partners for your business, but we also need you to name two directors (unless there is only one director), at least one of whom must be an executive director or partner, for identity verification purposes. Please state their details here.

Surname

MaleFemale

Date of birth (DD/MM/YYYY)

Current residential address

Postcode

Position

Authorised signatories

You will need to provide us with a list of all authorised signatories for the corporate trustee. Please tell us how many signatories will need to sign in order to action changes to the policy (including any special instructions, for example – 1 from category 'A' and 1 from category 'B')

Number of signatories required

Special instructions

Shareholder 2

Forename(s)

Surname

Position

Shareholding (%)

Shareholders and beneficial interest

Please tell us who in your company has a shareholding or beneficial interest of 25% or more. You will have to provide verification of identity for those listed.

Shareholder 1

Forename(s)

Surname

Position

Shareholding (%)

Shareholder 3

Forename(s)

Surname

Position

Shareholding (%)

Shareholder 4

Forename(s)

Surname

Position

Shareholding (%)

Section 4 - Details of beneficiaries of the trust

Please include details of all beneficiaries of the trust.
for additional beneficiaries please continue on the
Notes section at the back of this application form.

First beneficiary

Title	Tax residency
Forename(s)	Tax Identification Number
Surname	Nationality(ies)
Other previous names or aliases	Current residential address
Male Female	
Date of birth (DD/MM/YYYY)	Postcode
Country of birth	Correspondence address
Telephone	
Email address	Postcode

Second beneficiary

Title	Tax residency
Forename(s)	Tax Identification Number
Surname	Nationality(ies)
Other previous names or aliases	Current residential address
Male Female	
Date of birth (DD/MM/YYYY)	Postcode
Country of birth	Correspondence address
Telephone	
Email address	Postcode

Section 5 - Lives assured - Life assurance policy only

Is Settlor 1 named in Section 3 to be a life assured?

Yes No

Is Settlor 2 named in Section 3 to be a life assured?

Yes No

Will there be any other lives assured?

Yes No

If **Yes**, please complete the following additional lives assured details section. You can have up to 10 lives assured in total. If there is insufficient space, please provide the details on the Notes section at the back of this application form.

Additional life assured

Title

Forename(s)

Surname

Male Female

Date of birth (DD/MM/YYYY)

Country of birth

Nationality(ies)

Country of residence

Current residential address

Postcode

Additional life assured

Title

Forename(s)

Surname

Male Female

Date of birth (DD/MM/YYYY)

Country of birth

Nationality(ies)

Country of residence

Current residential address

Postcode

Additional life assured

Title

Forename(s)

Surname

Male Female

Date of birth (DD/MM/YYYY)

Nationality(ies)

Country of residence

Current residential address

Postcode

Additional life assured

Title

Forename(s)

Surname

Male Female

Date of birth (DD/MM/YYYY)

Nationality(ies)

Country of residence

Current residential address

Postcode

Section 6 - Contract structure

Currency

The payment currency for your Offshore Bond is GBP only.

Sub-policies

To give you increased flexibility, your Offshore Bond may be divided up into a number of equal and identical sub-policies. The maximum number of sub-policies is 100.

For example, if the assets are to be divided equally between three beneficiaries you might want to choose a multiple of 3 nearest to 100, i.e. 99. If you do not specify a number we will automatically open 100 sub-policies for you.

Number of sub-policies required in Offshore Bond

Section 8 - Investments

Please note that your initial investment will be held as cash in a non-interest bearing account. Once your offshore bond is activated, you/your adviser will then be able to choose investments via the Nucleus Platform account.

Section 7 - Investment amount

The minimum investment required to open your Offshore Bond is £100,000.

Please provide details of your initial investment into your Offshore Bond.

Please do not send any funds until the application has been approved by RL360.

Total amount

£

Section 9 - Adviser charges

Adviser charges will be paid to the adviser firm referenced in section 10 later in this form.

Please note that any adviser charges paid out of your Offshore Bond are treated as withdrawals

Section 9a - Initial adviser charge

Any initial charge amount stated should be gross of VAT (for cash transfers and single payments)

£

%

Section 9b - Ongoing adviser charges

Please note - if you are adding an Offshore Bond to an existing Head Account and you have set up ongoing charges to be calculated on a Head Account basis, we will automatically apply that basis to your Offshore Bond. If this is the case, please proceed to section 9d.

If you are adding an Offshore Bond to a new Head Account, please continue below to confirm whether charges should be calculated on a Head Account or Sub Account level. This will then apply to any further products being set up within the new Head Account. Please complete the remainder of section 9.

If you are adding an Offshore Bond to an existing Head Account but have previously set up charges to be calculated at Sub Account level, please proceed to section 9c.

If you are adding an Offshore Bond to a new head account, should charges apply to the Offshore Bond, and any subsequently opened products, on a head account, or sub account basis?

Head account

Sub account

Section 9c - Payment of ongoing adviser charges

Ongoing charges to be paid:

Monthly

Quarterly

Half-yearly

Annually

Option one

Ongoing amount (either £ or %)

£

OR

%

OR

Option two - tiered

Amount	Level of adviser charge
First £	% p.a.
Next £	% p.a.
Next £	% p.a.
Next £	% p.a.
Over £	% p.a.

Section 9d - Applied adviser charges

Are adviser charges to be applied across all assets within the product?

Yes

No

If **No**, please tick which categories of assets you wish to **exclude**:

Platform Funds (including model portfolios)

Other permitted assets

Cash held in your Offshore Bond

Do you wish to redirect adviser charges to be paid from your GIA (if applicable)?

Yes

No

Section 10 - Regular withdrawal instructions

Do you wish to set up regular withdrawals immediately?

Yes No

If **No**, please proceed to Section 12.

If **Yes**, please note the following:

- Withdrawals are only payable on the first of the month, and will be made by Faster Payments.
- We need one month's notice in writing to start or amend regular withdrawals.
- Payments to or from third parties are not acceptable.
- Withdrawals in excess of 5% of the total premiums paid into your Offshore Bond in any policy year will mean a chargeable event and may result in a tax charge. Any adviser charges paid in accordance with Section 10 above will also count as withdrawals. Please consult your adviser for guidance.
- Please note that this is based on Nucleus' and RL360's current understanding of law and HMRC practice which is subject to change.

Amount of withdrawal

£

OR

% p.a. of initial investment

Frequency of payment

Monthly

Quarterly

Half-yearly

Annually

Date of first withdrawal

Section 10a - Bank details for regular withdrawals

Please provide details of the bank account to which payments should be made. This bank account must be in your name.

Bank name and address

Postcode

Account name

Sort code

Account number

Building society reference number (if applicable)

How long have you held an account with this bank?

years

months

Section 10b - Disinvestments for withdrawals

Withdrawals will be taken from the cash available within your Offshore Bond. However, if you wish to sell specific assets to fund any regular withdrawals, please provide instructions detailing the assets to be sold. Without sufficient cash or instructions, we will not be able to make any payments.

If you are invested in a fund that deals at a frequency other than daily, this may cause delays in forwarding the proceeds to you.

Section 11 - Source of funds

You must complete the following details in all cases.

If you are funding your Offshore Bond from a bank account that is not in the United Kingdom, Channel Islands or Isle of Man. Full details on the source of funds procedures can be obtained from your financial adviser or can be downloaded from

www.rl360wrap.com.

Section 11a - Source of funds

Please provide details of the bank account that you will use to fund your Offshore Bond.

Bank name and address

Postcode

Account holder's name

Branch swift code (for all non-GBP and international payments). Swift code must be either 8 or 11 digits

OR

Bank sort code (for UK GBP payments only)

IBAN (all non-GBP accounts)

OR

Account number (GBP UK bank only)

Account held for years months

If you are funding your Offshore Bond from more than one bank account, please provide your additional bank details and your reasons for doing this on the Notes section at the back of this form.

Section 11b - Source of funds

The Isle of Man Financial Services Authority requires all Isle of Man life companies to make enquiries as to how an applicant has acquired the monies to be used as payment for their plan. This reflects the Isle of Man's commitment to maintain the highest possible standards of business practice and to counter money laundering and the financing of terrorism.

RL360 has adopted a risk-based approach to meet these regulations, categorising our products and countries that we will accept business from into Standard or Higher risk. We have categorised countries to their level of compliance with international regulatory standards.

Applicant's annual salary plus bonuses

You must complete the below sections for each applicant/trustee and any controlling person in full along with the other relevant questions in this section. If there are multiple applicants/trustees and controlling persons, please provide details for each additional applicant/trustees and any controlling person in the Notes section at the end of this form.

If you are retired, please provide your income details in the 'Other unearned income' section.

Settlor/Trustee/Controlling person 1

Settlor/Trustee/Controlling person name

Earned income (include currency)

This year

Last year

Previous year

Current occupation

Current employer's company name

Nature of business

If you are retired, please complete the following:

Previous occupation

Previous salary

Employer's company name

Nature of business

Date retired

Other unearned income

Amount received (include currency)

Received from

Date received (DD/MM/YYYY)

Please confirm your source of funds for this application by completing the relevant boxes in Section 11c. In each case please provide further information in the additional notes section of this application form.

Failure to complete the following sections will result in delays to RL360 processing your application.
RL360 need to know:

- How the funds have been accumulated and over what time period.
- How the applicant acquired the funds originally.

The following section must be completed in all cases, if the investment is coming from multiple sources, please complete all the relevant sections.

Settlor/Trustee/Controlling person 2

Settlor/Trustee/Controlling person name

Earned income (include currency)

This year

Last year

Previous year

Current occupation

Current employer's company name

Nature of business

If you are retired, please complete the following:

Previous occupation

Previous salary

Employer's company name

Nature of business

Date retired

Other unearned income

Amount received (include currency)

Received from

Date received (DD/MM/YYYY)

Please confirm your source of funds for this application by completing the relevant boxes in Section 11c. In each case please provide further information in the additional notes section of this application form.

Failure to complete the following sections will result in delays to RL360 processing your application. RL360 need to know:

- How the funds have been accumulated and over what time period.
- How the applicant acquired the funds originally.

The following section must be completed in all cases, if the investment is coming from multiple sources, please complete all the relevant sections.

Settlor/Trustee/Controlling person 3

Settlor/Trustee/Controlling person name

Earned income (include currency)

This year

Last year

Previous year

Current occupation

Current employer's company name

Nature of business

If you are retired, please complete the following:

Previous occupation

Previous salary

Employer's company name

Nature of business

Date retired

Other unearned income

Amount received (include currency)

Received from

Date received (DD/MM/YYYY)

Please confirm your source of funds for this application by completing the relevant boxes in Section 11c. In each case please provide further information in the additional notes section of this application form.

Failure to complete the following sections will result in delays to RL360 processing your application. RL360 need to know:

- How the funds have been accumulated and over what time period.
- How the applicant acquired the funds originally.

The following section must be completed in all cases, if the investment is coming from multiple sources, please complete all the relevant sections.

Settlor/Trustee/Controlling person 4

Date received (DD/MM/YYYY)

Settlor/Trustee/Controlling person name

Earned income (include currency)

This year

Last year

Previous year

Current occupation

Current employer's company name

Nature of business

If you are retired, please complete the following:

Previous occupation

Previous salary

Employer's company name

Nature of business

Date retired

Other unearned income

Amount received (include currency)

Received from

Please confirm your source of funds for this application by completing the relevant boxes in Section 11c. In each case please provide further information in the additional notes section of this application form.

Failure to complete the following sections will result in delays to RL360 processing your application. RL360 need to know:

- How the funds have been accumulated and over what time period.
- How the applicant acquired the funds originally.

The following section must be completed in all cases, if the investment is coming from multiple sources, please complete all the relevant sections.

Section 11c - Source of funds information

Savings

Amount held (include currency)

Bank where savings were held

How were savings accumulated and how long?

Pension transfer

Amount received (include currency)

Received from

Date received (DD/MM/YYYY)

Property or asset sale

Amount received (include currency)

Address of property sold, or asset type

Date received (DD/MM/YYYY)

Where have the proceeds been held since date of sale?

Postcode

For how long was it held?

Date of sale (DD/MM/YYYY)

Where have the proceeds been held since date of sale?

Other (such as a lottery or betting win, gift or inheritance)

Amount received (include currency)

Source

Date received

Name of person/company received from

Company profits

Profits this year (include currency)

Profits last year (include currency)

Company name

Company industry

Relationship to benefactor

Gift

Name of person(s) providing the gift

Relationship between the recipient and provider of the gift

How were the proceeds of the gift accumulated?

Company sale

Amount received (include currency)

Company name

Company industry

Reason for the gift

Amount received (include currency)

Date received (DD/MM/YYYY)

Please ensure relevant evidence of the source of funds/wealth indicated in this section has been included with the application form.

Section 12 - Data protection

RL360's full privacy statement can be viewed at www.rl360.com/pages/privacy-policy.htm ('RL360 Privacy Policy') or can be obtained by requesting a copy from their Data Protection Officer.

For the purposes of the services and the product, RL360 is the controller and is responsible for your personal data. RL360 is part of the International Financial Group Limited which is made up of various legal entities, details of which can be found within the RL360 Privacy Policy ('RL360 Group').

This form collects your personal data. RL360 requires your personal data in order to provide you with services relating to the performance of your contract. You may ask RL360 to stop processing your data, however this may disrupt the services RL360 can provide to you or may stop them being able to assist you. To find out how long RL360 will keep your data, please refer to their privacy policy at www.rl360.com/privacy. Any data you provide to RL360 may be shared, if allowed by law, with other companies both inside and outside of the RL360 Group and to persons who act on your behalf. Data and information about you can be transferred outside of the Isle of Man and RL360 may be required to provide it to its regulator, its government or anyone else required by law.

RL360 will use your data and information to allow for the administration of your policy, prevent crime, prosecute criminals and for market research and statistics. RL360 will, at all times, make sure that your data and information is only used in ways that are allowed by law.

You can receive a copy of the information RL360 holds about you free of charge by writing to their Data Protection Officer at:
[RL360, International House, Cooil Road, Douglas, Isle of Man, IM2 2SP, British Isles,](#)
or by emailing dpo@rl360.com.

RL360 can reserve the right to not send you your personal data in some circumstances; if they do, they will write to you setting out the reasons why.

Nucleus Financial Platforms Limited take the privacy and security of your personal information very seriously. The information you provide us with will be processed in accordance with our Privacy Notice which you can access at nucleusfinancial.com/privacy-notice.

Section 13 - Politically Exposed Persons

A Politically Exposed Person (PEP) is a person entrusted with prominent public functions, their immediate family members or persons known to be close associates of such persons.

Examples of PEPs include political figures, members of the judiciary, diplomatic service officers, managers and supervisors of state owned enterprises and senior ranking military officers.

Please provide names of any PEPs associated with this application.

Where this box is left blank, you are confirming that no PEPs are associated with this policy.

Position held as PEP

Country position held

Date position held to/from

Relationship if PEP is a family member

Section 14 - Declaration

I/We have received and read copies of the Offshore Bond product literature including the appropriate:

- product illustration
- Key Information Document
- Key Features Document
- Nucleus Platform Terms and Conditions
- RL360's Offshore Bond Terms and Conditions, and
- Nucleus Charges Schedule.
- Permitted Investments List

I/We apply for an Offshore Bond, and by signing this application form, I/we agree to the following documents forming the basis of the contract between me/us and RL360:

- This application form
- The Offshore Bond Terms and Conditions
- The RL360's Policy Schedule
- Any endorsements to the Policy Schedule
- Any other document that evidences a change in the contract

I am/We are aware that some aspects of the policy will operate as defined in the Nucleus Terms and Conditions.

I/We declare that the above answers are true to the best of my/our knowledge, and that no information has been withheld that may influence the assessment or acceptance of this application.

I/we accept that RL360 can bring the contract to an end if I/we have failed to declare any facts that may influence the decision to accept this application.

I/We give my/our express consent for the information in this form to be processed.

I/We agree that any supplementary questionnaire will form part of my application to RL360, and that non-disclosure of any material fact known to me/us may invalidate the contract.

I/We accept that the Offshore Bond is underwritten and I/we may therefore be required to provide further information.

I/We confirm that investment into policies of insurance are within the investment powers available to the trustees of the trust and I/we, or any beneficiaries of the policy, am/are not subject to any legislation which would make such an investment unlawful.

I am/We are resident in the United Kingdom and I/ we will advise RL360, in writing immediately on changes (including to the residence or citizenship) of the settlor(s), trustee(s), authorised signatories/ director(s) or beneficial owner(s).

Neither I/we, nor any of the beneficial owners of the company are resident in the United States (meaning the United States of America, its territories and possessions, any state of the United States and the District of Columbia). US person's are defined on page 30.

I/We authorise the payment of adviser charges in accordance with this application form and acknowledge that such payments will be made as withdrawals.

Financial advice

I/We appoint the following financial adviser:

Name

Address

Address

Postcode

RL360 is authorised to disclose all information relating to the Offshore Bond to the adviser. I will notify RL360 in writing if I/we change this adviser.

I/We confirm that advice leading to the application was received in (give location)

And the application form was signed in (give location)

Signature

Print name

Date received (DD/MM/YYYY)

Important note: The contract for your Offshore Bond could be invalidated by any failure to disclose facts which might influence our or RL360's assessment of this application. If you've any doubt as to whether a fact is relevant, then you should disclose it.

Legal

I/We agree to the policy being governed by Isle of Man law and to the Isle of Man Courts having the right to decide any case that may be brought in relation to the policy.

Cancellation rights

I am/We are aware that I/we have the right to cancel my/our policy and obtain a refund of the premium paid to RL360 less any reduction as a result of investment performance, by giving written notice within 30 days of my receiving the cancellation notice. I/we agree to provide RL360 with signed notice within 30 days of receiving the cancellation notice should I/we wish to cancel the policy.

Investment

I am/We are aware that RL360 does not provide investment advice and that any published acceptable investment list should not be considered a recommendation. I am/We are aware that all investment dealing and transactions will be performed via Nucleus, and will be subject to their term and conditions.

All Trustees (including any authorised signatories of a corporate trustee) from Section 2 are required to sign below.

Individual Trustees

Trustee 1

Signature

Print name

Date (DD/MM/YYYY)

Trustee 3

Signature

Print name

Date (DD/MM/YYYY)

Trustee 2

Signature

Print name

Date (DD/MM/YYYY)

Trustee 4

Signature

Print name

Date (DD/MM/YYYY)

Corporate trustee authorised signatories

Corporate trustee 1

Signature

Full name of corporate trustee

Date (DD/MM/YYYY)

Position in company

Corporate trustee 3

Signature

Print name

Date (DD/MM/YYYY)

Position in company

Corporate trustee 2

Signature

Full name of corporate trustee

Date (DD/MM/YYYY)

Position in company

Corporate trustee 4

Signature

Full name of corporate trustee

Date (DD/MM/YYYY)

Position in company

Section 15 - Financial adviser

Financial adviser's details

This section is to be completed by the trustees' adviser.

Company name

Financial adviser number

Name of regulatory or authorising body (e.g. Financial Conduct Authority (FCA))

Regulatory number (if applicable)

Financial adviser's address

Postcode

Financial adviser's stamp (If this does not state an address, please add company address details too)

Full name

Online services username (if registered)

Work telephone number

Mobile telephone number

Email

I confirm that I have seen documentary proof of the applicant(s) identity, and certification of their residential address, and have, where applicable, attached suitably certified copies of both as required.

Signature

Print name

Date (DD/MM/YYYY)

Location of advice given

Recurring adviser charges

Please note that any adviser charges paid out of your Offshore Bond are treated as withdrawals.

Checklist of additional documents required

Please ensure that the following information accompanies this application form. Failure to provide all relevant information will result in a delay in your application being processed.

General requirements for all applicants

(Please tick if attached)

Relevant source of funds evidence as indicated in Section 11

Individual trustees

Identification and address verification evidence stated on the front page

Certified copy of trust documents showing proper appointment of trustees and nature and purpose of the trust

Declaration from trustees and evidence, if required, of source or origin of the trust assets

For existing trusts, the Proof of Registration document for the Trustee Registration Service (TRS) or confirmation the Trust is exempt.

Where a trustee is a Public Registered Company

Certificate of Incorporation or equivalent document and evidence of the registered office address

The latest annual report and set of accounts

A list of all the directors and certified copy of relevant identification and address verification for at least two of them, one of whom must be an Executive Director

Board Resolution or authorised signatory list of officers showing delegated authority and specimen signatures

Certified copy of trust documents showing evidence of proper appointment of trustees and nature and purpose of the trust

Declaration from trustees and evidence, if required, of source or origin of the trust assets

Where a trustee is a Private Limited Company

Certificate of Incorporation or equivalent document and evidence of the registered office address

The latest annual report and set of accounts

A list of all the directors and certified copy of relevant identification and address verification for at least two of them, one of whom must be an Executive Director

Board Resolution or authorised signatory list of officers showing delegated authority and specimen signatures

Main bank account details – name, address, sort code, account number and account name

Certified copy of trust documents showing evidence of proper appointment of trustees and nature and purpose of the trust

Declaration from trustees and evidence, if required, of source or origin of the trust assets

Verification of identity of all shareholders holding 25% or more of the issued share capital as at the date of the application. Where the holder of 25% or more is a holding company, trust or nominee, we need to verify the identity of the ultimate beneficial owner

Beneficiaries

If payment is to be made directly to the beneficiary, relevant identification and address verification will be required.

Settlor(s)

Where the settlor is also acting as a trustee, or where a premium payment is being made from an account held in the name of the settlor, relevant identification and address verification evidence for the settlor is required.

Please note that some of these requirements are necessary under the Isle of Man's Anti-Money Laundering and Countering the Financing of Terrorism Code 2019.

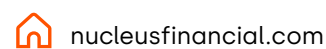
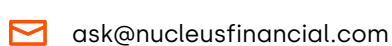
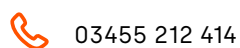
Nucleus and RL360 Insurance Company Limited reserve the right to return an application for an Offshore Bond if all requirements are not met in full.

US Persons

US Person (FATCA only) means: A US Citizen or resident individual; a partnership or corporation organised in the United States or under the law of the United States (or any state, or the District of Columbia); an estate or a trust if a court within the United States would have authority under applicable laws to render order or judgments concerning substantially all issues regarding administration of the trust and one or more US Persons have the authority to control all substantial decisions of the trust or an estate of a decedent that is a citizen or resident of the United States; a person that meets the Substantial Presence Test (criterion used by the IRS to determine whether an individual who is not a citizen or lawful permanent resident qualifies as a 'resident for tax purposes' (for more information, please visit www.irs.gov/individuals/international-taxpayers/substantial-presence-test)); or any other person that is not a foreign person.

Notes

If the trust has any Protector(s) or controlling persons, please provide their full name, date birth, residential address, country/countries of National Insurance number or functional equivalent below. Examples of functional equivalents would be tax number or visa number.



For literature in alternative formats, such as Braille, large print, audio or E-text, please call us on 03455 212 414, or via the Relay UK service on 18001 03455 212 414.

RL360 Insurance Company Limited. Registered Office: International House, Cooil Road, Douglas, Isle of Man, IM2 2SP, British Isles. Telephone: +44 (0)1624 681681. Telephone calls may be recorded. Website: www.rl360.com. RL360 Insurance Company Limited is authorised by the Isle of Man Financial Services Authority. Registered in the Isle of Man number 053002C.

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