

Junior Isa – declaration

Please note: This document forms part of your application for the Nucleus wrap together with the terms and conditions of the Nucleus wrap, and the application summary all of which constitutes a legally binding contract between you and Nucleus Financial Services Limited. Please also note that throughout this declaration Nucleus Financial Services Limited will be referred to as 'Nucleus'. The defined terms in this document are references to the defined terms in section two of the terms and conditions of the Nucleus wrap.

If anyone forgets to add a date to their signature, we'll treat the day we receive this form as the signing date.

Completing the form

Please ensure this form is completed accurately. If you are unclear about anything, please contact the Client relations team.

Returning the form

Please return the completed form via Jira messaging or by post to:



Nucleus,
PO Box 26968,
Glasgow,
G2 9DY

If you have any questions please contact our client relations team on 0131 223 9535, or by email on client.relations@nucleusfinancial.com.

Additional Support

We recognise that personal circumstances, life altering events, or health issues could mean that you need some extra support. If there is anything we can do to help make dealing with us easier, please speak to one of our team and we'll work with you to put the right help in place. For more information on the types of support we can offer, visit nucleusfinancial.com/supporting-customers.

This declaration applies to individual clients

General declaration

- I authorise Nucleus to accept investment instructions from my adviser and confirm that this authorisation will remain in place until I advise Nucleus in writing to the contrary.
- I confirm acceptance of the Nucleus fees as set out in the terms and conditions of the Nucleus wrap as may be amended from time to time.
- I accept that Nucleus will normally correspond with my adviser.
- I have read and agree to be bound by the terms and conditions of the Nucleus Wrap. I have also read the key features of the Nucleus Wrap and the key features of the Nucleus Junior ISA.
- Neither I nor the child is a US person.

- I am not/The child is not resident in the United States of America and neither of us is subject to US tax reporting. I will notify Nucleus immediately in writing in the event either of us become resident in the United States of America or subject to US tax reporting. I accept that in such circumstances, Nucleus reserves the right to terminate its services under this contract.
- I am not / the child is not a politically exposed person or relative or close associate of a politically exposed person as defined in the Money Laundering Regulations 2017 as amended from time to time.
- I understand that Nucleus provides its services in conjunction with my adviser and Nucleus is not permitted to provide investment advice or any advice on the merits of using its services or any assets available through these services. I accept that Nucleus does not recommend or endorse any assets available through its services.
- I understand that Nucleus will from time to time send me documentation relating to transactions I have entered into and valuations of my investments. Nucleus will send me these documents in a durable medium which may include electronic transmissions if appropriate.
- I understand that any amounts subscribed/contributed to this ISA are a gift to the child named in this form and cannot be repaid to the person making the subscription/contribution.

Tax residency

- I confirm that I have provided my adviser with confirmation of my and the child's tax residency status and which country / countries we are tax resident in.
- I understand that Nucleus may be required to disclose this information to relevant third parties.
- I will inform Nucleus promptly of any change to my or the child's tax residency status.

Client categorisation (please tick one box)

I can confirm that I wish to be categorised as a:

Retail client Professional client

Retail clients receive the highest level of FCA protection including access to the Financial Ombudsman Service and Financial Services Compensation Scheme. It is therefore important to remember that if you elect another option you may be entitled to lesser compensation and regulatory protection.

Data protection

For information on how we handle personal data please look at our terms and conditions and the privacy policy on the [Nucleus website](#).

Child one

I apply to open a Nucleus Junior Isa (Jisa) account for:

Child's full name

Residential address of child

Date of birth of child

Child's NI number (if over 16)

The child named above will be the beneficial owner of the account investments.

I declare that:

- I am 16 years of age or over
- I am the child / I have parental responsibility for the child (delete which does not apply)
- I do not / the child does not have a Child Trust Fund account
- I will be the registered contact for the Nucleus Jisa
- The child is resident in the UK, or is a UK Crown servant, a dependant of a UK Crown servant or is married to / in a civil partnership with a UK Crown servant
- I am not aware that this child has another Jisa of this type
- I am not aware of other Jisa subscriptions that will result in this child exceeding the annual Junior ISA limit
- I will not knowingly make subscriptions to Jisas for this child that will result in the Junior ISA subscription limit being exceeded

I authorise Nucleus Financial Services Limited:

- to hold the child's subscriptions, Jisa investments, interest, dividends and any other rights or proceeds in respect of those investments and cash
- to make on the child's behalf any claims to relief from tax in respect of Jisa investments
- to pay my adviser initial and ongoing fees as follows:

Fee type	Amount (£ / %)
Initial fee: lump sum	
Initial fee: regular contributions	
Initial fee: transfer from	
Annual adviser fees	

If you have agreed to pay ongoing adviser charges on a flat fee basis, please note:

Charges accrue daily and are calculated using an annual rate, so the amount deducted each month may vary depending on the number of days in that period. As a result, the monthly payment may be slightly higher or lower than expected.

I agree to the Nucleus Jisa terms and conditions and confirm that to the best of my belief the information in this form is true.

Signature

Print name

Date

For literature in alternative formats, such as Braille, large print, audio or E-text, please call us on 0131 226 9535, or via the Relay UK service on 18001 0131 226 9535.



0131 226 9535



client.relations@nucleusfinancial.com



www.nucleusfinancial.com