

# Customer: Execution only General account\*



## Top up application form

\*This form can also be used if you have a General (gross) account

- Please use this form if you wish to make single or regular cash contributions to your General account.
- This process is designed for execution only customers i.e., customers who have not taken advice and who are acting on their own initiative.
- We must remind you that progressing on a non-advised basis may affect the regulatory protection you would otherwise be entitled to. For example, you will not have recourse against an adviser firm (which you may have if you appoint one) in regard to investment decisions made by you. Your right to pursue compensation via the Financial Services Compensation Scheme is not affected when we execute a transaction for you on a non-advised basis.
- If you forget to add a date to your signature, we'll treat the day we receive this form as the signing date. If you've any questions, please don't hesitate to contact our dedicated Client Contact team.

Once this form has been completed and signed, please send it to us at the below address.

Nucleus client relations, PO BOX 26968, Glasgow G2 9DY 0131 226 9535 Option 1 [client.contact@nucleusfinancial.com](mailto:client.contact@nucleusfinancial.com)

### Customer details

Name

Nucleus account number

N

Nucleus reference number (if known)

### Contributions

Assets held in a Nucleus General account may be subject to income, capital gains and inheritance tax in the same way they would if you held the assets outside the Nucleus wrap.

It is your responsibility to notify Nucleus, and HM Revenue & Customs (HMRC), of any change in your tax status.

Nucleus will report income received on assets held within the Nucleus General account to you within a consolidated tax voucher. This will be sent to you by Nucleus, by post, on an annual basis. The tax treatment of an investment or savings product depends on your individual circumstances and may be subject to change in future.

You can top up your General account with a single lump sum payment or a regular contribution via direct debit.

### Personal contributions

Please select the appropriate contribution type(s):

Single lump sum contribution £

#### Payment method

When prompted by us, please send the payment to the following bank account, quoting your Nucleus General N account number as the payment reference:

General account Payee	Sort code	Account no
Nucleus Financial Services Ltd	16-04-00	31265802

General (gross) account Payee	Sort code	Account no
Nucleus Financial Services Ltd	16-04-00	31306886

Regular contribution £

#### Frequency of contributions

Monthly      Quarterly      Half yearly      Annually

Collection date for the contributions (this must be between 1st-28th of the month)

If no start date is provided, we will set up the Direct Debit for the first of the month following the date that this form is signed.

If regular contribution payments are to be made to your General account, please also complete the attached Direct Debit Mandate, and send it to Nucleus. Please allow at least 10 business days for us to set up any Direct Debits.



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Signed

Print name

Date (dd-mm-yyyy)

- -

### Checklist of additional documentation required

#### Personal contributions

Direct debit mandate completed for regular contributions

For literature in alternative formats, such as Braille, large print, audio or E-text, please call us on 0131 226 9535, or via the Typetalk service on 18001 0131 226 9535.



0131 226 9535



client.relations@nucleusfinancial.com



www.nucleusfinancial.com

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