

# Nucleus Offshore Bond top up form

Nucleus client relations, PO BOX 26968, Glasgow G2 9DY.

Please complete and sign form and send to us at the above address. If anyone forgets to add a date to their signature, we'll treat the day we receive this form as the signing date. The Nucleus Offshore Bond is provided by RL360 Insurance Company Limited (RL360) and they will require information in order to set up your account.

If you have any questions please contact Nucleus client relations on 0131 226 9535 or [client.relations@nucleusfinancial.com](mailto:client.relations@nucleusfinancial.com) who'll be delighted to help.

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## Client details

Name of policyholder 1

Name of policyholder 2

National Insurance Number and/or Tax Identification Number

National Insurance Number and/or Tax Identification Number

Country or countries of tax residence

Country or countries of tax residence

Are you a US Specified Person?

Are you a US Specified Person?

Yes No

Yes No

\* Please see page 10 for a definition of a US Specified Person

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Offshore Bond policy number

Nucleus account number

PN

N

If the policyholders are trustees, a company or corporate trustees, please complete the relevant boxes in the supplementary trusts section that begins on page 6.

I/We hereby request that a further premium of

be added to the above policy as a top up (minimum top up is £5,000).

Please arrange to pay my adviser an initial fee of

(Insert amount £/%)

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## Source of wealth (all amounts are GBP unless specified)

The Isle of Man Financial Services Authority requires all Isle of Man life companies to "make enquiries as to how an applicant has acquired the monies to be used as payment for their plan". This reflects the Isle of Man's commitment to maintain the highest possible standards of business practice and to counter money laundering and the financing of terrorism.

RL360 has adopted a risk-based approach to meet these regulations, categorising our product and countries that we will accept business from into Standard or Higher risk. We have categorised countries according to their level of compliance with international regulatory standards. Full details on the source of funds procedures can be obtained from your financial adviser or can be downloaded from [www.rl360wrap.com](http://www.rl360wrap.com).

You must complete the annual salary question in full, in all cases and for both applicants as applicable. You must also complete all other relevant questions within this section. Please use the notes section of this application form if you require more space (section 10 refers).

RL360 may ask for further information in regard to source of wealth where no back up is provided, irrespective of premium amount.

## First policyholder

If you are retired, please tell us your income details in the relevant section below.

Earned income (including currency)

This year                      Last year                      Previous year

Occupation

Employer's company name

Nature of business

If you have any unearned income please provide the details of amount received (inc currency).

This year                      Last year                      Previous year

Received from

Date received (dd/mm/yy)

## Second policyholder

If you are retired, please tell us your income details in the relevant section below.

Earned income (including currency)

This year                      Last year                      Previous year

Occupation

Employer's company name

Nature of business

If you have any unearned income please provide the details of amount received (inc currency)

This year                      Last year                      Previous year

Received from

Date received (dd/mm/yy)

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If you are retired please complete the following

	Previous occupation	Previous salary	Employer's company name	Date retired
First policyholder				
Second policyholder				

Please confirm your source of wealth for this application by completing the relevant boxes below.

## First policyholder

### Savings

Amount accumulated (include currency)

How were the savings accumulated?

Please detail the bank or building society where the savings were held

### Property or asset sale

Address of property or asset type

Postcode

Amount received (include currency)

Date of sale (dd/mm/yy)

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## Company profits

Profits this year (include currency)

Profits last year (include currency)

Company industry

Date received (dd/mm/yy)

## Company sale

Amount received (include currency)

Name of company

Company industry

Date of sale (dd/mm/yy)

## Pension transfer

Amount received (include currency)

Date received (dd/mm/yy)

Received from

## Other sources (such as lottery or betting win, gift or inheritance)

Amount received (include currency)

Date received (dd/mm/yy)

Source

## Second policyholder

### Savings

Amount accumulated (include currency)

How were the savings accumulated?

Please detail the bank or building society where the savings were held

## Property or asset sale

Address of property or asset type

Postcode

Amount received (include currency)

Date of sale (dd/mm/yy)

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## Company profits

Profits this year (include currency)

Profits last year (include currency)

Company industry

Date received (dd/mm/yy)

## Company sale

Amount received (include currency)

Name of company

Company industry

Date of sale (dd/mm/yy)

## Pension transfer

Amount received (include currency)

Date received (dd/mm/yy)

Received from

## Other sources (such as lottery or betting win, gift or inheritance)

Amount received (include currency)

Date received (dd/mm/yy)

Source

## Source of funds

Please provide us with the details of your bank account that you will use to fund your policy

Bank name

Bank address

Postcode

Account holder's name

Account sort code

Account number

Account held for

Years

Months

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If you are funding your policy from more than one bank account please provide the additional details below and please provide the reason why in the additional notes section below

Bank name

Bank address

Postcode

Account holder's name

Account sort code

Account number

Account held for

Years

Months

Additional notes

## Supplementary section for trusts, companies and corporate trustees

### Trust

Trust name

Trust country of tax residence

Trust Tax Identification Number (TIN)

Settlor's full name

Settlor's National Insurance Number and/or Tax Identification Number

Settlor's country or countries of tax residence

Are you a US Specified Person?

Yes No

\* Please see page 10 for a definition of a US Specified Person

Trustee 1 full name

Trustee 1 National Insurance Number and/or Tax Identification Number

Trustee 1 country or countries of tax residence

Are you a US Specified Person?

Yes No

\* Please see page 10 for a definition of a US Specified Person

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Trustee 2 full name

Trustee 2 National Insurance Number and/or Tax Identification Number

Trustee 2 country or countries of tax residence

Are you a US Specified Person?

Yes      No

\* Please see page 10 for a definition of a US Specified Person

Trustee 3 full name

Trustee 3 National Insurance Number and/or Tax Identification Number

Trustee 3 country or countries of tax residence

Are you a US Specified Person?

Yes      No

\* Please see page 10 for a definition of a US Specified Person

Trustee 4 full name

Trustee 4 National Insurance Number and/or Tax Identification Number

Trustee 4 country or countries of tax residence

Are you a US Specified Person?

Yes      No

\* Please see page 10 for a definition of a US Specified Person

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## Company

Company name

Are you a US Specified Person?

Yes No

Country or countries of tax residence

\* Please see page 10 for a definition of a US Specified Person

Company tax number(s)

Director/partner 2

Executive director/partner 1

Full name

Full name

National Insurance Number and/or  
Tax Identification Number

National Insurance Number and/or  
Tax Identification Number

Country or countries of tax residence

Country or countries of tax residence

Are you a US Specified Person?

Yes No

\* Please see page 10 for a definition of a US Specified Person

## Shareholders and beneficial interest

For persons who have a shareholding or beneficial interest of 25% or more please tell us

Full name	Position	Shareholding (%)	National Insurance Number and/or Tax Identification Number	Country or countries of tax residence	Are you a US Specified person? <small>See definition on page 10</small>
					Yes No
					Yes No
					Yes No

## Corporate trustee

Corporate trustee's name

Global Intermediary Identification Number (GIIN)

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## Your declaration

### My application

I understand that my additional premium will be treated in line with the terms and conditions of my policy.

I declare that the above answers are true to the best of my knowledge and that I have not withheld any information that may influence the assessment or acceptance of this application.

I give my express consent for the information in this form to be processed.

I agree that any supplementary questionnaire will form part of my application to the company and that non-disclosure of any material fact known to me may invalidate the contract.

### Availability

I am not resident in the USA. I confirm that to the best of my knowledge and belief, I am not subject to any legislation that would make my investment into the Nucleus Offshore Bond unlawful.

### Investment

I am aware that RL360 does not provide investment advice and that any published acceptable investment list should not be considered a recommendation. I am aware that all investment dealing and transactions will be performed via Nucleus, and will be subject to their terms and conditions.

### Data Protection Act

This form collects your personal data. We require your personal data so we can provide you with services relating to the performance of your contract. You may ask us to stop processing your data, however this may disrupt the services RL360 can provide to you or may stop us being able to assist you. To find out how long we will keep your data, please refer to our privacy policy at [www.rl360.com/privacy](http://www.rl360.com/privacy). Any data you provide to RL360 may be shared, if allowed by law, with other companies both inside and outside of RL360 and to persons who act on your behalf. Data and information about you can be transferred outside of the Isle of Man and RL360 may be required to provide it to its regulator, its government or anyone else required by law.

RL360 will use your data and information to allow for the administration of your policy, prevent crime, prosecute criminals and for market research and statistics. RL360 will, at all times, make sure that your data and information is only used in ways that are allowed by law.

You can receive a copy of the information RL360 holds about you free of charge by writing to our Data Protection Officer at: RL360, International House, Cooil Road, Douglas, Isle of Man, IM2 2SP, British Isles, or by emailing [dpo@rl360.com](mailto:dpo@rl360.com). We can reserve the right to not send you your personal data in some circumstances if we do we will write to you setting out the reasons why.

Our full privacy statement can be viewed at [www.rl360.com/privacy](http://www.rl360.com/privacy) or can be obtained by requesting a copy from our Data Protection Officer.

## Legal

I agree to the policy being governed by Isle of Man law and to the Isle of Man Courts having the right to decide any case that may be brought in relation to the policy.

I accept that RL360 can bring the contract to an end if I have failed to detail any facts that may influence the decision to accept this application.

I am aware that RL360 cannot accept applications where the advice is received (whether by letter, fax, email, telephone or in person) or the application form is signed in the USA.

I confirm that this application was signed in (give country)

Policyholder 1 (electronic or handwritten signature)  
Trustee/Authorised signatory 1 (we require a handwritten signature)

Signed

Date

Policyholder 2 (electronic or handwritten signature)  
Trustee/Authorised signatory 2 (we require a handwritten signature)

Signed

Date

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Trustee/Authorised signatory 3 (we require a handwritten signature)

Signed

Date

Trustee/Authorised signatory 4 (we require a handwritten signature)

Signed

Date

## Adviser details

Company name

Agency number

FCA number

Full name

Work telephone number

Mobile telephone number

Email address

## Important notes

### Withdrawals

Please note that if you currently take regular withdrawals from your Nucleus Offshore Bond, RL360 will not automatically increase the level of withdrawals in line with the top up. Should you wish to increase the withdrawal level, please confirm this in a separate instruction.

Please note that adviser and discretionary fund manager (DFM) fees are treated as withdrawals and therefore count towards the total 5% withdrawal allowance.

However, adviser and DFM fees on a bond set up prior to the introduction of the Retail Distribution Review on 31 Dec 2012 will not count towards the total 5% withdrawal allowance unless there is an advice event after that date. A top up is classed as an advice event and from the date of the advice event, adviser and DFM fees count towards the total 5% withdrawal allowance.

### US Specified Person

US Specified Person means a US citizen or tax resident individual, who either holds a US Passport, a US Green Card, has a US residential/ correspondence address, who was born in the US and has not yet renounced their US citizenship or meets the substantial presence test.

More information on US FATCA can be found at:  
[www.irs.gov/Businesses/Corporations/Foreign-Account-Tax-Compliance-Act-FATCA](http://www.irs.gov/Businesses/Corporations/Foreign-Account-Tax-Compliance-Act-FATCA).