For customers

For full functionality, please download and complete this form as a PDF.

Our new platform

nucleus platform | RL360

Offshore Bond payment in form

Nucleus Platform Offshore Bond and Modular Offshore Bond

Please complete this form in BLOCK CAPITALS and return it by:

- **post** to **RL360 Insurance Company Limited, c/o Nucleus**, Suite 202 Warner House, 123 Castle Street, Salisbury, SP1 3TB, or
- **secure message** via your online account with us.

If you need any help, please call your Customer Support Team or our general enquiry number 03455 212 414.

Using this form

Please use this form to make a payment into your Offshore Bond. It can be used by individuals, trusts, companies or corporate entities by completing only the relevants parts of Section 1.

This form should be read in conjunction with our **Platform Terms and Conditions** and the **Offshore Bond Terms and Conditions**, which are available on our website literature.nucleusfinancial.com or on request.

Please note that any regular withdrawal instructions currently in place will be unaffected by an additional investment. If you wish to change your withdrawal instructions or set up a new withdrawal request, please complete our **Offshore Bond Withdrawal Form**.

Data protection

The purpose of this form is to collect information which RL360 requires in order to provide your Offshore Bond and associated services. RL360 will be a controller of the personal data collected in connection with your Offshore Bond and is responsible for its processing. It will process your personal data in accordance with its privacy policy.

RL360's full privacy policy can be viewed at rl360.com/pages/privacy-policy.htm ('RL360 Privacy Policy') or can be obtained by requesting a copy from their Data Protection Officer at **RL360, International House, Cooil Road, Douglas, Isle of Man, IM2 2SP, British Isles, or by emailing dpo@rl360.com.**

RL360 is part of the group of companies under International Financial Group Limited, details of which can be found within the RL360 Privacy Policy ('RL360 Group').

You may ask RL360 to stop processing your data, however this may disrupt the services RL360 can provide to you or may stop it being able to assist you. To find out how long RL360 will keep your data, please refer to its privacy policy.

Any data you provide to RL360 may be shared, if permitted by law, with other companies both inside and outside of the RL360 Group and to persons who act on your behalf. Data and information about you can be transferred outside of the Isle of Man and RL360 may be required to provide it to its regulator, the government or anyone else required by law.

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RL360 will use your data and information in administering and operating your Offshore Bond, in carrying out checks to prevent financial crime, to exercise legal rights and comply with law, and otherwise in fulfilling the RL360 Group's legitimate interests and as further set out in its privacy policy.

RL360 will, at all times, make sure that your data and information is only used in ways that are permitted by law.

You can receive a copy of the information RL360 holds about you free of charge by writing to its Data Protection Officer using the details set out above.

RL360 can choose to not send you your personal data in some circumstances (for example if it reasonably considers your request is excessive). If it does, it will write to you setting out the reasons why.

U.S. Specified Person

U.S. Specified Person means a U.S. citizen or tax resident individual, who holds a U.S. Passport, a U.S. Green Card, has a U.S. residential/correspondence address or who was born in the U.S. and has not renounced their U.S. citizenship. More information on U.S. FATCA can be found at irs.gov/Businesses/Corporations/Foreign-Account-Tax-Compliance-Act-FATCA.

If you are a U.S. Specified Person, you will need to provide us with your US Taxpayer Identification Number (TIN) or US Social Security Number (SSN). If you are not a U.S. Specified Person but you have a U.S. residential/correspondence address, hold a U.S. Passport, a U.S. Green Card or you were born in the U.S., you will need to provide us with documentary evidence that you are in the process of or have renounced your U.S. citizenship. RL360 can accept a certified copy of your DS-4083 form (also known as CLN-Certificate of Loss of Nationality) and/or a certified copy of your passport issued by the country of which you are applying for citizenship.

U.S. Person

US Person (FATCA only) means: A US citizen or resident individual; a partnership or corporation organised in the United States or under the law of the United States (or any state, or the District of Columbia); an estate or a trust if a court within the United States would have authority under applicable laws to render order or judgments concerning substantially all issues regarding administration of the trust and one or more US Persons have the authority to control all substantial decision of the trust or an estate of a decedent that is a citizen or resident of the United States; a person that meets the Substantial Presence Test (criterion used by the IRS to determine whether an individual who is not a citizen or lawful permanent resident qualifies as a 'resident for tax purposes' (for more information, please visit irs.gov/individuals/international-taxpayers/substantial-presence-test)); or anyone who is not a foreign person (as defined in FATCA).

Section 1 - Plan details

Nucleus Offshore Bond number

RL360 Offshore Bond reference number

WR

Offshore Bond product (please tick one box only)

Nucleus Platform Offshore Bond

Nucleus Modular Offshore Bond

Please only complete one section from 1a, 1b, or 1c or depending on the set up of your Offshore Bond.

Section 1a - Individual applicant

Policyholder 1

Full name

National Insurance number

Where you do not have a National Insurance number, please provide an equivalent tax identification number (or US social security number).

Country or countries of tax residence

Are you a US Specified Person? Yes No

Do you want to update your contact/address details as part of this application?

Yes No

If **Yes** then please provide new details in Section 05 - Additional Information.

Policyholder 2

Full name

National Insurance number

Where you do not have a National Insurance number, please provide an equivalent tax identification number (or US social security number).

Country or countries of tax residence

Are you a US Specified Person? Yes No

Do you want to update your contact/address details as part of this application?

Yes No

If **Yes** then please provide new details in Section 5 - Additional Information.

Section 1b - Trust

Where an individual does not have a National Insurance number, please provide an equivalent tax identification number (or US social security number).

Trust name

Trust's Unique Tax Reference (UTR)

Is the trust registered with HM Revenue and Customs (HMRC)?

Yes No

Is the entity (trust) a US Person?

Yes No

Settlor 1

Settlor's full name

Settlor's National Insurance number

Settlor's country or countries of tax residence

Settlor 2

Settlor's full name

Settlor's National Insurance number

Settlor's country or countries of tax residence

Trustee 1

Full name

National Insurance number

Country or countries of tax residence

Are you a US Specified Person?

Yes No

Trustee 2

Full name

National Insurance number

Where you do not have a National Insurance number, please provide your Tax Identification Number or US Social Security Number instead.

Country or countries of tax residence

Are you a US Specified Person?

Yes No

Trustee 3

Full name

National Insurance number

Country or countries of tax residence

Are you a US Specified Person?

Yes No

Trustee 4

Full name

National Insurance number

Country or countries of tax residence

Are you a US Specified Person?

Yes No

Section 1c - Company, charity or partnership

Where an individual does not have a National Insurance number, please provide an equivalent tax identification number (or US social security number).

Company or charity name

Registration number

Country of registration

Company tax number(s)

Country or countries of tax residence

Executive Director

Full name

National Insurance number

Country or countries of tax residence

Are you a US Specified Person?

Yes No

Executive Director/Director

Full name

National Insurance number

Country or countries of tax residence

Are you a US Specified Person?

Yes No

Section 2 - Investment amount

Please confirm the amount you wish to pay into your Offshore Bond.

£

Please note: The minimum top up amount is £5,000.

Section 3 - Investment options

Please indicate your investment choices by ticking one or more of the following. Investments will be purchased using cash within your Offshore Bond.

You should retain sufficient cash in your Offshore Bond to pay regular transaction charges, including adviser charges where applicable, to avoid having to sell assets to meet such charges.

I wish to buy the following:

Platform Funds

Please place these trades through your online account.

Assets held directly

If you wish to buy funds that are not available on the platform, please complete an **Instruction to Trade Form** and enclose the appropriate fund manager's application form. You should only complete the amount to be invested, the fund choice and remuneration details. As the investment will be made by Nucleus, we will complete the rest of the investment application form.

Model portfolios

Your adviser can select a model portfolio through their online account once they have agreed to the investment manager's terms of business.

For full details of the range of assets available, please refer to the **Offshore Bond Guidelines for Permitted Assets** document. These documents are available from literature.nucleusfinancial.com.

Section 4 - Source of funds and wealth

The Isle of Man Financial Services Authority requires all Isle of Man life companies to make enquiries as to how an applicant has acquired the monies to be used as payment for their plan. This reflects the Isle of Man's commitment to maintain the highest possible standards of business practice and to counter money laundering and the financing of terrorism.

If you are funding your Offshore Bond from a bank account that is not in the United Kingdom, Channel Islands or Isle of Man, please refer to the above mentioned documentation for further guidance.

You must fully complete the following section.

Section 4a - Source of funds

Please provide details of the bank account that you will use to fund this payment.

Bank name and address

Postcode

Account holder's name

Branch swift code (for all non–GBP and international payments). Swift code must be either 8 or 11 digits

OR

Bank sort code (for UK GBP payments only)

IBAN (all non–GBP accounts)

OR

Account number (GBP UK bank only)

Account held for years months

If you are funding your Offshore Bond from more than one bank account, please provide your additional bank details and your reasons for doing this on the Notes section at the back of this form.

Please confirm if the funds are to be transferred to RL360 from your GIA cash balance.

Section 4b - Source of funds

RL360 has adopted a risk-based approach to meet these regulations, categorising the product and countries that they will accept business from into standard or higher risk. They have categorised countries according to their level of compliance with international regulatory standards.

Full details on the source of funds procedures can be obtained from your financial adviser or can be downloaded from rl360wrap.com.

Applicant's annual salary plus bonuses

Please confirm whether you are (please select one):

- settlor
- trustee
- other person in control

If you are retired, please provide your income details in the 'Other unearned income' section.

Income this year (include currency)

Income last year (include currency)

Occupation

Employer's name

Nature of business

Other unearned income

Amount received (include currency)

Received from

Date received (DD/MM/YYYY)

If you are retired, please tell us your previous occupation, previous salary, employer and date of retirement.

Previous occupation

Previous salary (if retired)

Employer's company name

Date retired (DD/MM/YYYY)

Please confirm your source of funds for this payment by completing the relevant boxes.

Savings

Amount (include currency)

Bank where savings were held

How were savings accumulated, and how long they were held for?

Pension transfer

Amount (include currency)

Received from

Date received (DD/MM/YYYY)

Property or asset sale

Amount received (include currency)

Address of property sold, or asset type

Postcode

For how long was it held?

Date of sale (DD/MM/YYYY)

Company profits

Profits this year (include currency)

Profits last year (include currency)

Industry

Company sale/share sale

Amount received (include currency)

Company name

Company industry

Date received (DD/MM/YYYY)

Other (such as a lottery or betting win, gift or inheritance)

Amount received (include currency)

Source

Date received (DD/MM/YYYY)

Section 4c - Source of funds for second applicant (if applicable)

Second applicant's annual salary plus bonuses

Please confirm whether you are (please select one):

- settlor
- trustee
- other person in control

If you are retired, please provide your income details in the 'Other unearned income' section.

Income this year (include currency)

Income last year (include currency)

Occupation

Employer's name

Nature of business

Other unearned income

Amount received (include currency)

Received from

Date received (DD/MM/YYYY)

If you are retired, please tell us your previous occupation, previous salary, employer and date of retirement.

Previous occupation

Previous salary (if retired)

Employer's company name

Date retired (DD/MM/YYYY)

Section 5 - Additional information

Section 6 - Declaration

I/We agree that my/our additional investment will be processed in accordance with the Platform Terms and Conditions and Offshore Bond Terms and Conditions.

I/We declare that the above answers are true to the best of my/our knowledge and that I/we have not withheld any information that may influence the assessment of this form.

I/We give my/our express consent for the information in this form to be processed by Nucleus and RL360.

I/We agree that any supplementary questionnaire will form part of my/our application to RL360 and that non-disclosure of any material fact known to me/us may invalidate my/our Offshore Bond.

I/We accept that RL360 can close my Offshore Bond if I/we have failed to detail any facts that may influence the decision to accept this application.

I/We confirm that to the best of my/our knowledge and belief, I am/we are not subject to any laws that would make my/our investment unlawful.

Financial advice

I/We confirm that advice leading to this application was received in (give location)

This application form was signed in (give location)

Signature of First Policyholder/Trustee/ Authorised Signatory

Print name

Date (DD/MM/YYYY)

Signature of Second Policyholder/Trustee/ Authorised Signatory

Print name

Date (DD/MM/YYYY)

Signature of Third Trustee/Authorised Signatory

Print name

Date (DD/MM/YYYY)

Signature of Fourth Trustee/Authorised Signatory

Print name

Date (DD/MM/YYYY)

Section 7 - Financial adviser's declaration

This section is to be completed by your adviser.

Company name

Financial adviser number

Name of regulatory or authorising body
(e.g. Financial Conduct Authority (FCA))

Firm reference number (or equivalent, if applicable)

Financial adviser's stamp (If this does not state an address, please add company address details too)

Financial adviser's full name

Nucleus online services username (if registered)

Work telephone number

Mobile telephone number

Email

Signature

Date (DD/MM/YYYY)

Notes

Please be aware that your Offshore Bond could be invalidated by any failure to disclose facts which might influence our or RL360's assessment of this application.

If you have any doubt as to whether a fact is relevant then you should disclose it.

03455 212 414 ask@nucleusfinancial.com nucleusfinancial.com

For literature in alternative formats, such as Braille, large print, audio or E-text, please call us on 03455 212 414, or via the Relay UK service on 18001 03455 212 414.

RL360 Insurance Company Limited. Registered Office: International House, Cooil Road, Douglas, Isle of Man, IM2 2SP, British Isles. Telephone: +44 (0)1624 681681. Telephone calls may be recorded. Website: www.rl360.com. RL360 Insurance Company Limited is authorised by the Isle of Man Financial Services Authority. Registered in the Isle of Man number 053002C.

"Nucleus" is the trading name for Nucleus Financial Platforms Limited (NFPL) (registered in England, number 06033126), Nucleus Group Services Limited (NGSL) (registered in England, number 02538532); James Hay Services Limited (JHS) (registered in Jersey, number 77318); James Hay Administration Company Limited (JHAC) (registered in England, number 04068398); James Hay Pension Trustees Limited (JHPT) (registered in England, number 01435887); James Hay Wrap Managers Limited (JHWM) (registered in England, number 04773695); James Hay Wrap Nominee Company Limited (JHWNC) (registered in England, number 07259308); Nucleus Financial Services Limited (NFS) (registered in England, number 05629686). NFPL, NFS, NGSL, JHAC, JHPT, JHWM, JHWNC have their registered office at Suite B & C, First Floor, Milford House, 43 55 Milford Street, Salisbury, SP1 2BP. JHS has its registered office at Aztec Group House, IFC6, The Esplanade, St Helier, Jersey, JE4 0QH. JHAC, JHWM and NFS are authorised and regulated by the Financial Conduct Authority. NFPL, NGSL, NFS, JHWM, JHPT, JHAC and JHS are members of a VAT group with VAT registration number 514 0358 80. All companies are wholly owned subsidiaries of NFPL. Further details of the Nucleus Group can be found at nucleusfinancial.com. (12/25)