



Adviser charges amendment form

Please complete this form in BLOCK CAPITALS and return it by:

- **post** to Nucleus, Suite 202 Warner House, 123 Castle Street, Salisbury, SP1 3TB, or
- **secure message** via your online account with us.

If you need any help, please call your Customer Support Team or our general enquiry number 03455 212 414.

Using this form

Please complete this form if you wish to change how you are currently paying adviser charges to your financial adviser - between product and head-account level.

Ongoing adviser charges can be paid at a product level (such as SIPP, GIA or ISA) or head account level (multiple/all Nucleus products).

All other changes to adviser charges must be requested by your adviser through their online platform account.

Your adviser can read our **Adviser Terms of Business** and **Adviser Remuneration Policy** for details of how and when adviser charges are paid. These documents are available on our website literature.nucleusfinancial.com.

Section 1 - Personal details

Title

Forename(s)

Surname

Customer reference number

Section 2 - Financial adviser details

Company name

Contact name (if applicable)

FCA firm reference number

Address

Postcode

Telephone

Email

How frequently would you like your ongoing adviser charges paid?

Monthly

Quarterly

Half-yearly

Annually

If no option is selected, we will default to paying the charges quarterly.

If you wish to **exclude** any asset groups from the calculations above, please specify below. Please note that we are unable to exclude individual investments or investment manager/stockbroker accounts.

Where applicable to my Nucleus product, the following asset types should be excluded from adviser charging:

All Platform Funds (including model portfolios)

All off-platform assets (apart from investment manager/stockbroker accounts)

All assets held with an investment manager or stockbroker

All Specialist Investments (only applicable to Modular iPlan)

Cash in the product bank account

Fixed term deposits and notice accounts

Commercial property (only applicable to Modular iPlan)

Section 5 - Customer declaration

I authorise Nucleus to pay charges to my adviser as detailed in this form.


I agree that it is my responsibility to inform you in writing if I wish for these payments to stop, or if I change or remove my adviser.


I confirm that this agreement replaces any existing agreements that have been set up previously.

Customer signature

Print name

Date (DD/MM/YYYY)

 03455 212 414

 ask@nucleusfinancial.com

 nucleusfinancial.com

For literature in alternative formats, such as Braille, large print, audio or E-text, please call us on 03455 212 414, or via the Typetalk service on 18001 03455 212 414.

"Nucleus" is the trading name for Nucleus Financial Platforms Limited (NFPL) (registered in England, number 06033126), Nucleus Group Services Limited (NGSL) (registered in England, number 02538532); James Hay Services Limited (JHS) (registered in Jersey, number 77318); James Hay Administration Company Limited (JHAC) (registered in England, number 04068398); James Hay Pension Trustees Limited (JHPT) (registered in England, number 01435887); James Hay Wrap Managers Limited (JHWM) (registered in England, number 04773695); James Hay Wrap Nominee Company Limited (JHWNC) (registered in England, number 07259308); Nucleus Financial Services Limited (NFS) (registered in England, number 05629686). NFPL, NFS, NGSL, JHAC, JHPT, JHWM, JHWNC have their registered office at Suite B & C, First Floor, Milford House, 43-55 Milford Street, Salisbury, SP1 2BP. JHS has its registered office at Aztec Group House, IFC6, The Esplanade, St Helier, Jersey, JE4 0QH. JHAC, JHWM and NFS are authorised and regulated by the Financial Conduct Authority. NFPL, NGSL, NFS, JHWM, JHPT, JHAC and JHS are members of a VAT group with VAT registration number 514 0358 80. All companies are wholly owned subsidiaries of NFPL. Further details of the Nucleus Group can be found at nucleusfinancial.com. (12/25)