

# Customer: Execution only Jisa re-registration authority



- ✔ This process is designed for execution only customers i.e., customers who have not taken advice and who are acting on their own initiative.
- ✔ We must remind you that progressing on a non-advised basis may affect the regulatory protection you would otherwise be entitled to. For example, you will not have recourse against an adviser firm (which you may have if you appoint one) in regard to investment decisions made by you. Your right to pursue compensation via the Financial Services Compensation Scheme is not affected when we execute a transaction for you on a non-advised basis.
- ✎ If anyone forgets to add a date to their signature, we'll treat the day we receive this form as the signing date.

Once this form has been completed and signed, please send it to us at the below address.

Nucleus client relations, PO BOX 26968, Glasgow G2 9DY 0131 226 9535 Option 1 [client.contact@nucleusfinancial.com](mailto:client.contact@nucleusfinancial.com)

## To: Plan/Account manager

Please insert full manager name and address

## Personal details

Name of registered contact

Residential address

Name of child

Reference: Account/plan number

Nucleus client reference of child (if known)

I authorise Nucleus to approach the Plan/Account manager (detailed above) to obtain relevant information regarding my plan/account and authorise the plan/account manager to disclose such information.

Child's date of birth

I authorise the Plan/Account manager (detailed above) to re-register the accounts detailed below to the company(ies) indicated below with immediate effect.

Child's NI number (where available)

I authorise the Plan/Account manager to forward all available cash and subsequent residual cash balances to Nucleus on a frequent and ongoing basis until the account is closed. Please note Nucleus do not have a minimum cash receipt policy.

Residential address of child

Postcode

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## Important information

To avoid delays, please complete the following section.

If transferring companies are unable to re-register my assets, I will accept cash without being notified (please select).

Yes      No

I will incur any costs of re-registration without being notified (please select)

Yes      No

If you select no, this could result in the delay of your re-registration being processed by the transferring company, as they seek further authority to proceed.

## Assets to be re-registered

Please note, the quantity of units to be re-registered must be completed otherwise the form will be returned to you.

Entry	Asset	Actual quantity	Re-register to <small>This column is to be completed by Nucleus</small>
1			
2			
3			
4			
5			
6			
7			
8			
9			
10			
11			
12			
13			
14			
15			
16			
17			
18			
19			
20			
21			
22			
23			
24			
25			

Total estimated residual cash to be transferred

£

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Please note this form can only be completed by the registered contact

Signed

Print name

Date (dd-mm-yyyy)

- -

For literature in alternative formats, such as Braille, large print, audio or E-text, please call us on 0131 226 9535, or via the Typetalk service on 18001 0131 226 9535.



0131 226 9535



client.relations@nucleusfinancial.com



www.nucleusfinancial.com

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